

# *How Charming is the Truth?*

**The Search for Top  
Flavor Changing  
Neutral Currents  
 $t \rightarrow Z c$   
at CDF Run II**

***Charles Plager, UCLA***

**On behalf of the CDF Collaboration**

**CERN Seminar**

**July 2<sup>nd</sup>, 2008**



# Outline

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The Tevatron and the CDF Experiment

Top Quark Physics

The Search for Top FCNC Decay

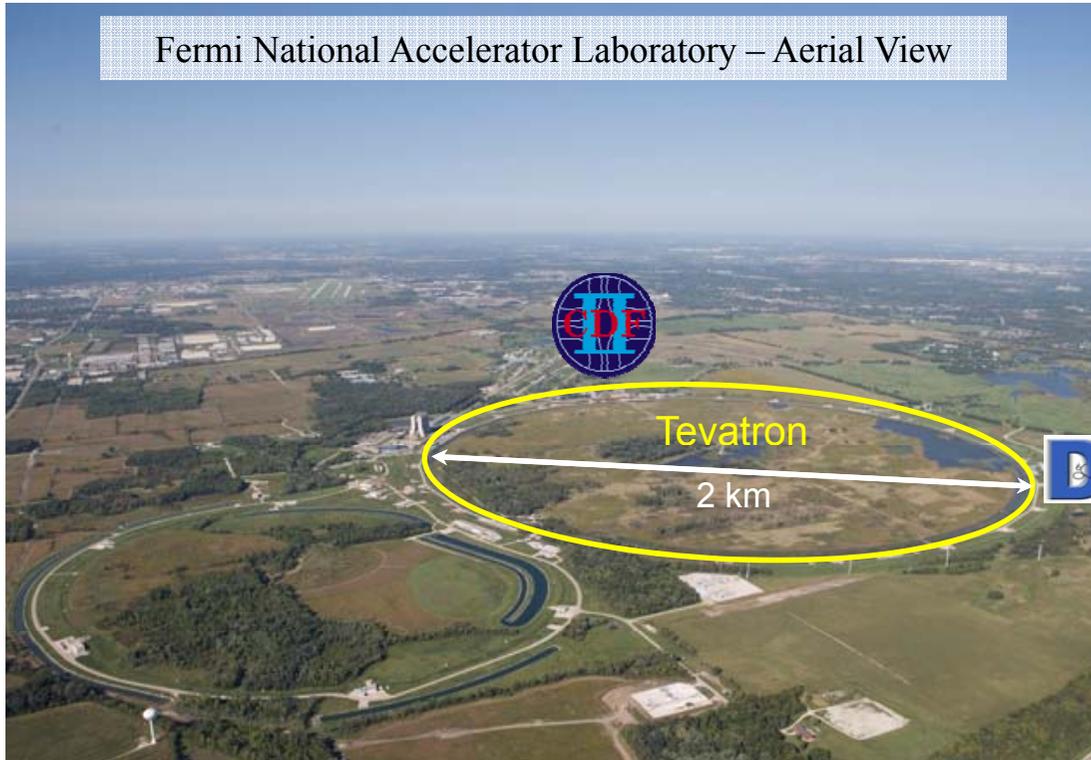
Summary



# Tevatron Run II: 2001–2009 (2010?)



Fermi National Accelerator Laboratory – Aerial View



- Proton-antiproton collider:  
 $\sqrt{s} = 1.96 \text{ TeV}$ .
- $36 \times 36$  bunches, collisions every 396 ns.
- Record instantaneous peak luminosity:  
 **$315 \cdot 10^{30} \text{ cm}^{-2} \text{ s}^{-1}$ !**
- Luminosity goal:  
 $5.5 - 6.5 \text{ fb}^{-1}$  of integrated luminosity by 2009, running in 2010 currently under discussion.
- Two multi-purpose detectors:  
CDF and DØ.

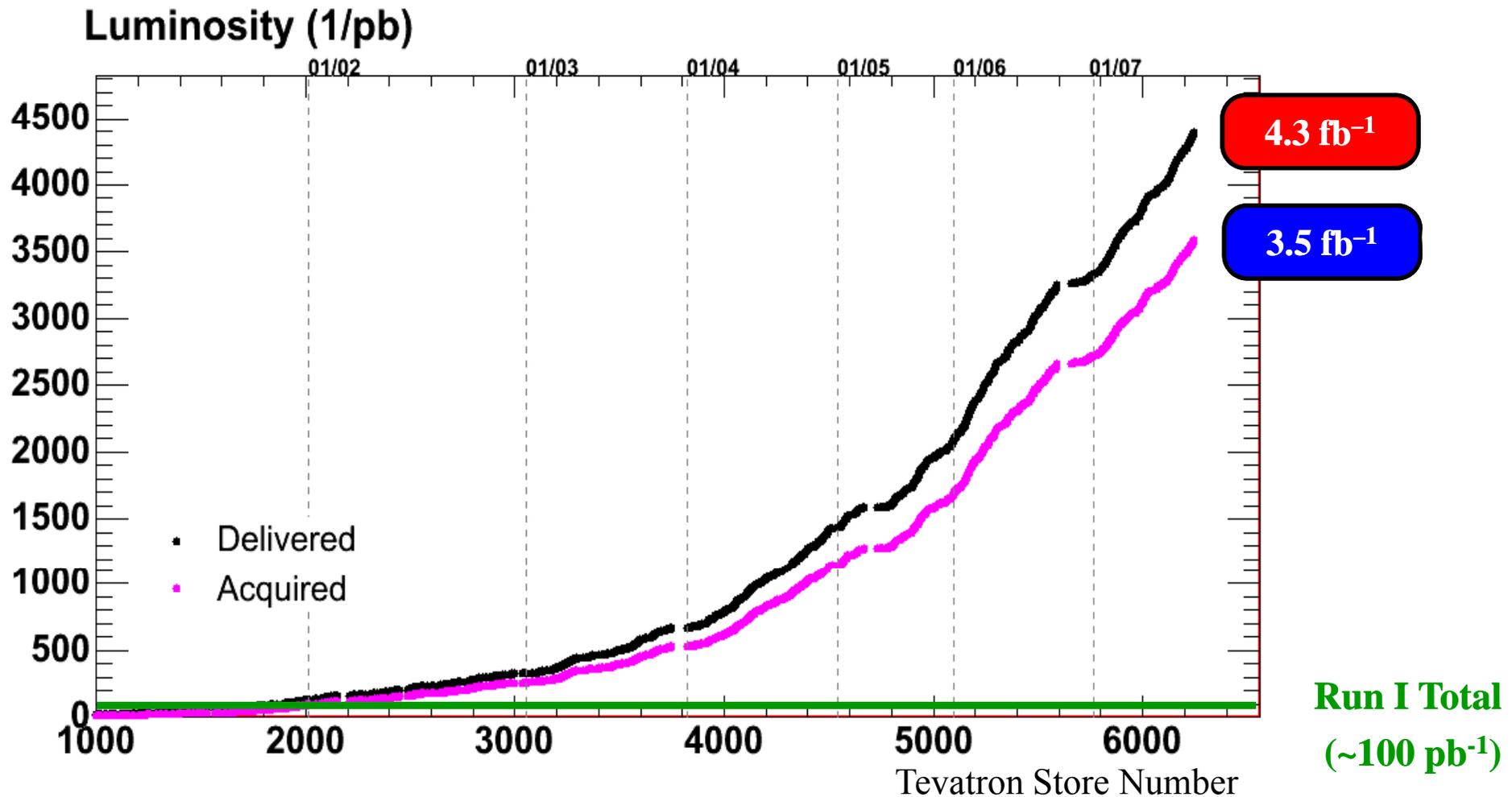


# Tevatron Performance



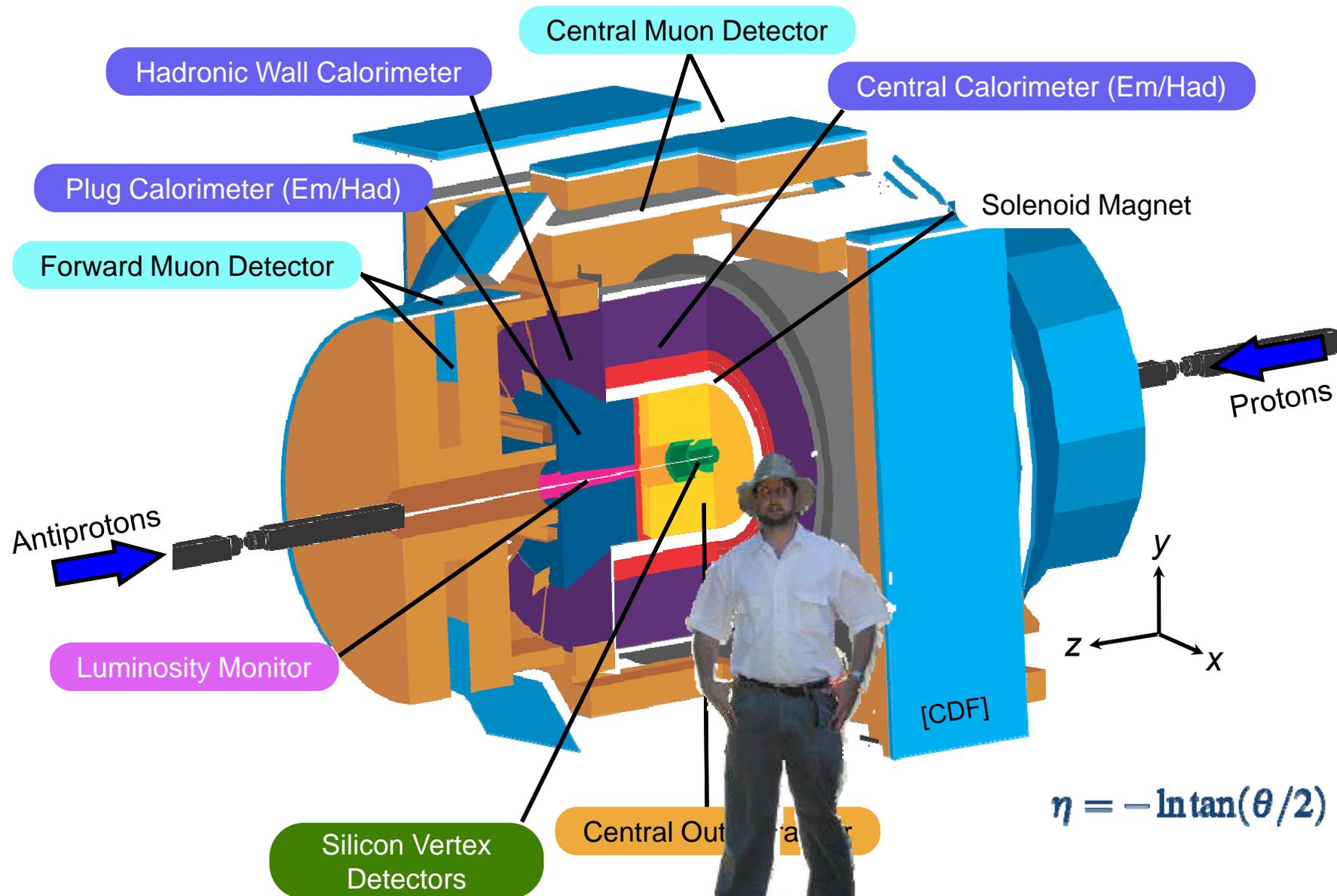
- Tevatron continues to perform **very** well:
  - More than  $4.3 \text{ fb}^{-1}$  delivered.
  - More than  $3.5 \text{ fb}^{-1}$  recorded by CDF.

The Tevatron just delivered  
*56 pb<sup>-1</sup> in single week!*





# The CDF II Detector





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# Top Quark History



- CDF and DØ Run I announced the top quark discovery March, 1995.
- This discovery did not “*just happen*”:
  - Other experiments had been looking for the previous 20 years with no (real) top quark discovery.
    - PETRA (DESY):  $e^+e^-$
    - Sp $\bar{p}$ S (CERN):  $p\bar{p}$
    - LEP I (CERN):  $e^+e^-$
  - Run I was in its fourth year (after three years of Run 0 and **many years** of **designing, building, and commissioning** the detectors).





# A Quick Note About Scale

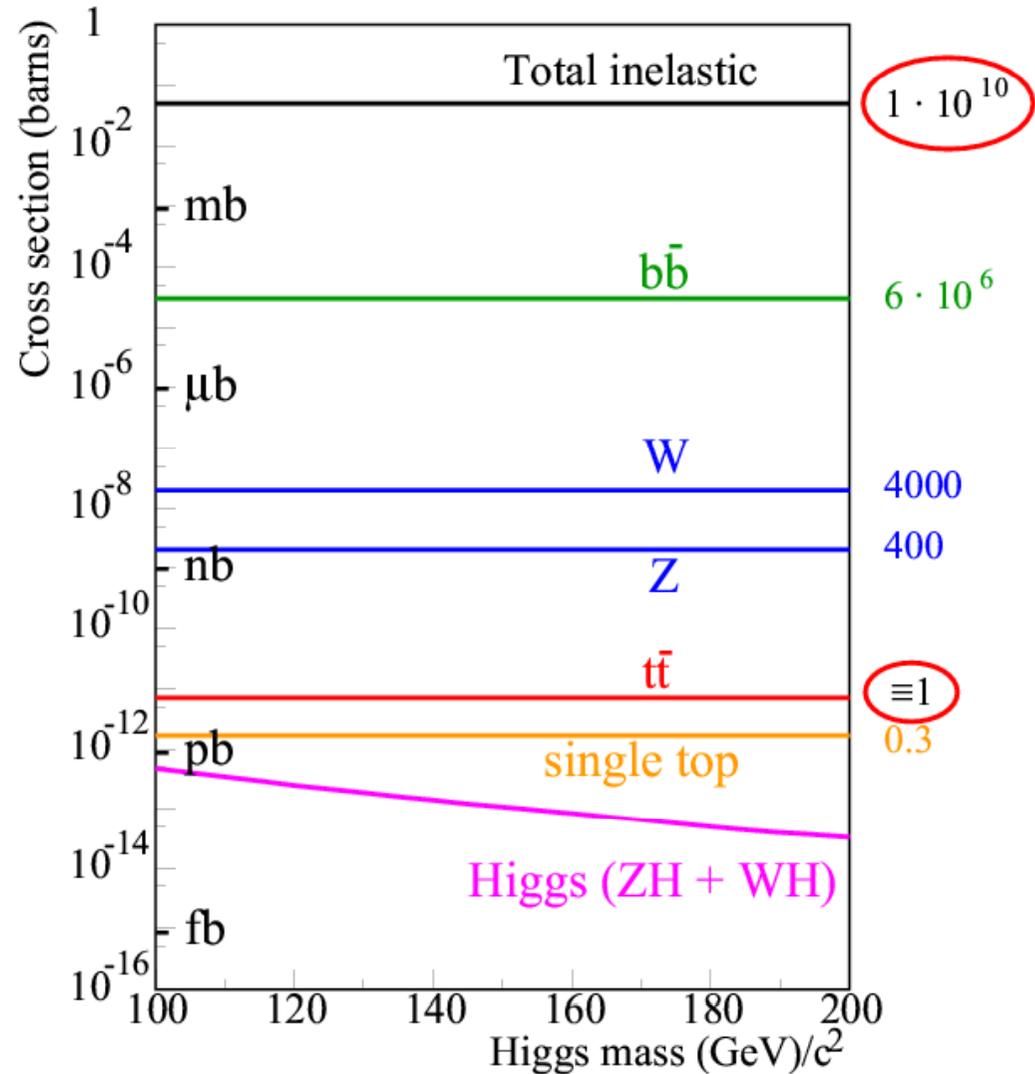


For those not intimately familiar with Tevatron high  $p_T$  Physics:

**Top:  
1 in 10 Billion**

Reducing and understanding backgrounds is the key.

Cross Sections at  $\sqrt{s} = 1.96$  TeV

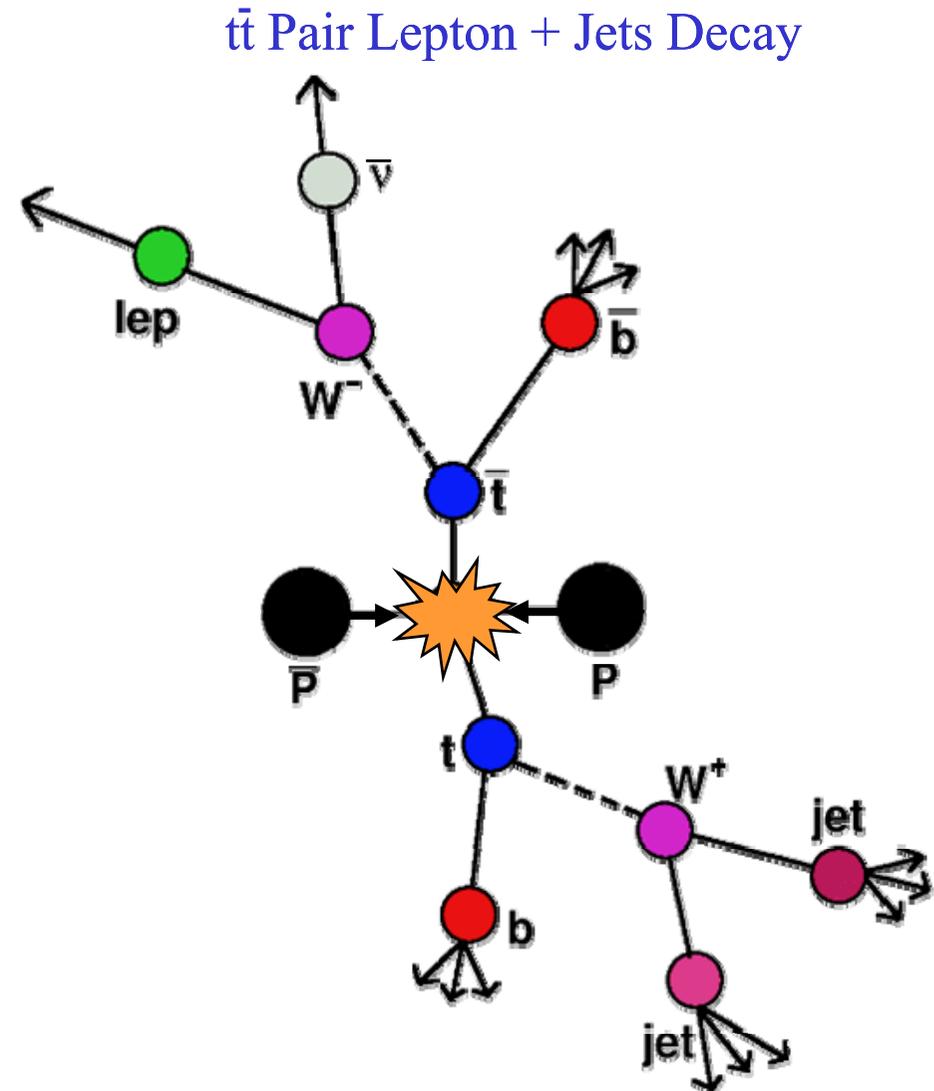




# Top Quark Review



- Top: the **Golden** quark ( $\sim 175 \text{ GeV}/c^2$ )
  - Only fermion with mass near EW scale.
  - 40 times heavier than the bottom quark.
- Very wide ( $1.5 \text{ GeV}/c^2$ )
  - The top quarks decay before they can hadronize.
    - We can study the decay of the bare quark.
- Usually observed in pairs.
- **Fundamental question:**  
Is it the **truth**, the Standard Model (SM) **truth**, and nothing but the **truth**?
  - Did we really find the **top quark**?
  - Is it the **SM top quark**?
  - Is it **only** the **SM top quark**?
- The top quark is an ideal place to look for Beyond the Standard Model Physics!



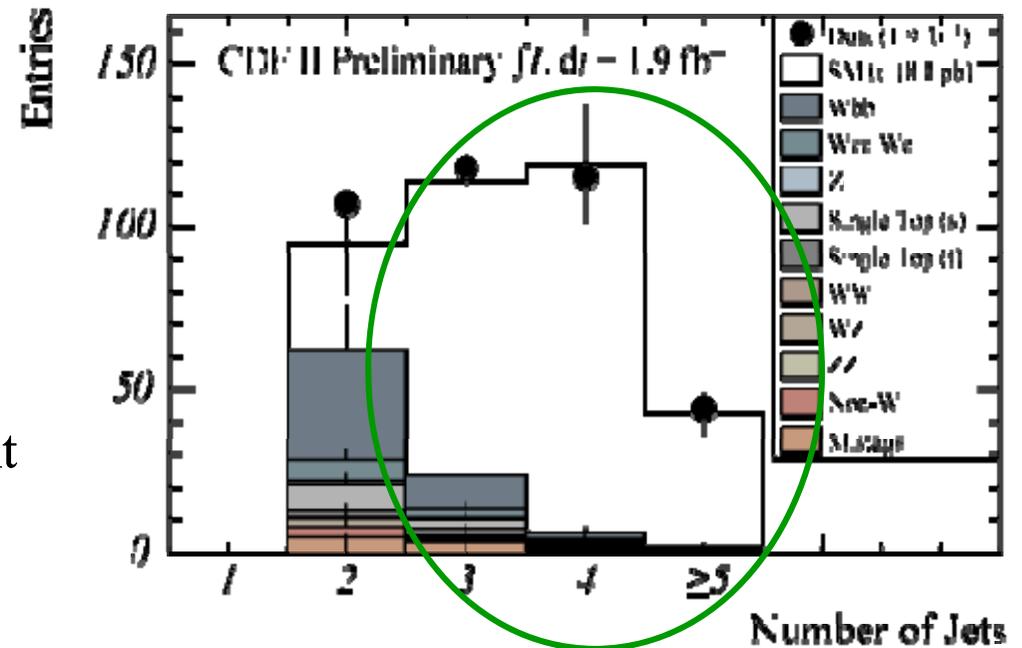


# New Era of Top Precision Physics!



- CDF and DØ now have more than thirty (**30 !!!**) times as much integrated luminosity as we did when they discovered the top quark in Run I!
- With the data we have recorded, we are now able to have large, *very pure* top samples.
- Of the almost 50 results that CDF sent to the winter conferences, *more than half* were in top physics!

Double B-Tag W + Jets Candidates



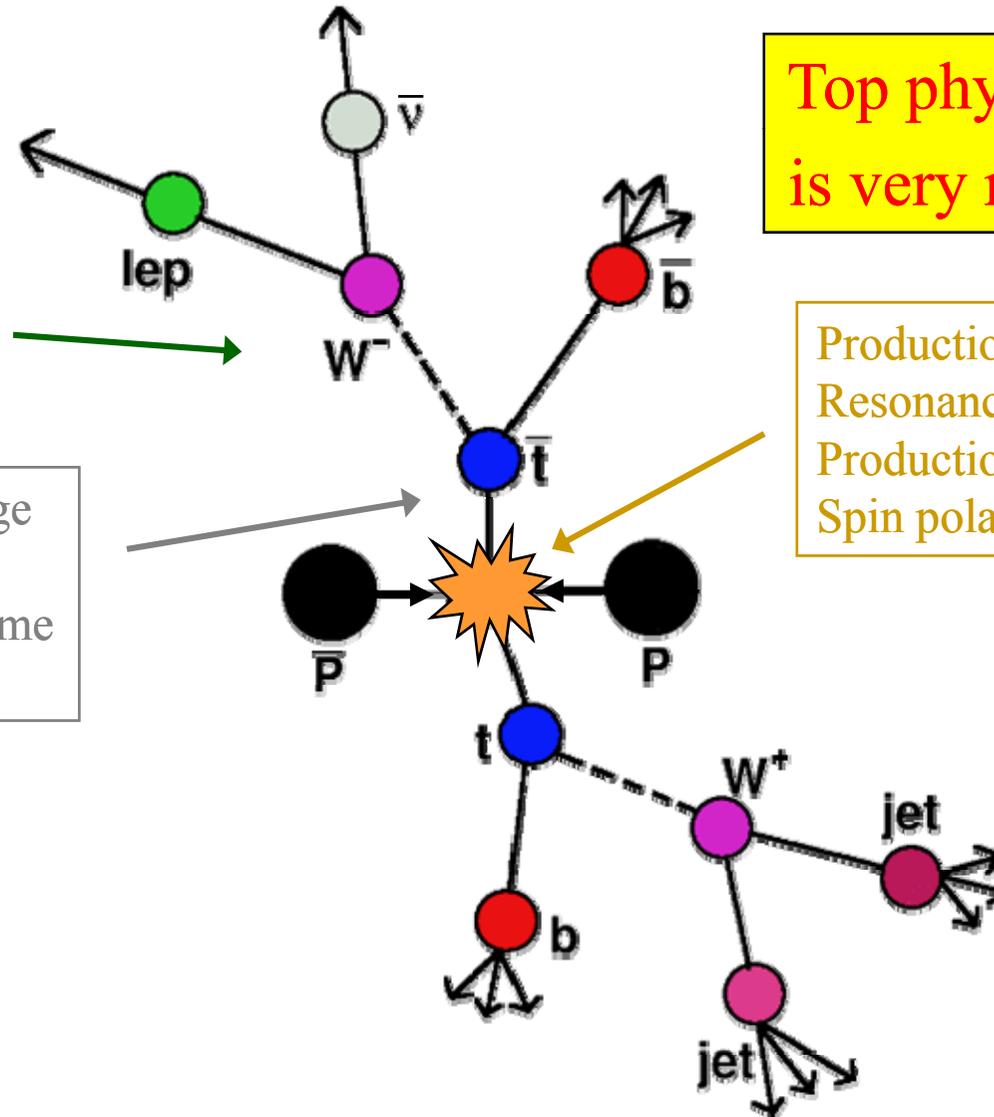


# What Can We Study About Top Quarks?



Branching ratios  
Rare decays  
Non-SM decays  
Decay kinematics  
W helicity  
 $|V_{tb}|$

Top charge  
Top spin  
Top lifetime  
Top mass



Top physics  
is very rich.

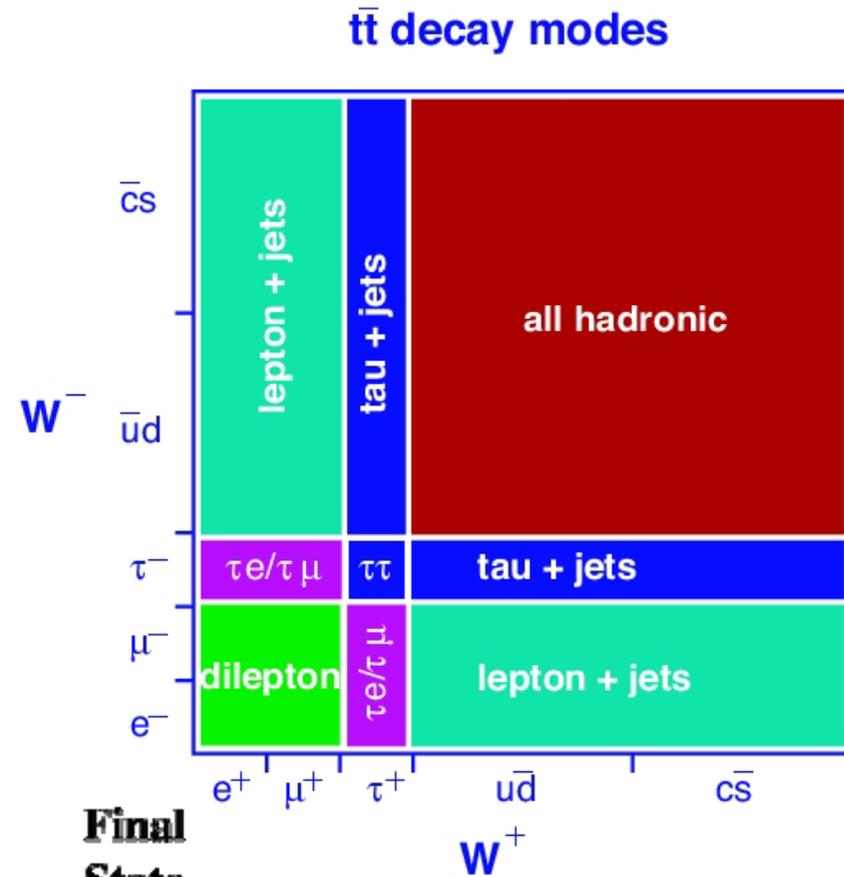
Production cross section  
Resonance production  
Production kinematics  
Spin polarization



# Top Pair Decay Modes



- According to the SM, top quarks almost (?) always decay to  $Wb$ .
- When classifying the decay modes, we use the  $W$  decay modes:
  - Leptonic
    - Light leptons ( $e$  or  $\mu$ )
    - Tauonic ( $\tau$ )
  - Hadrons



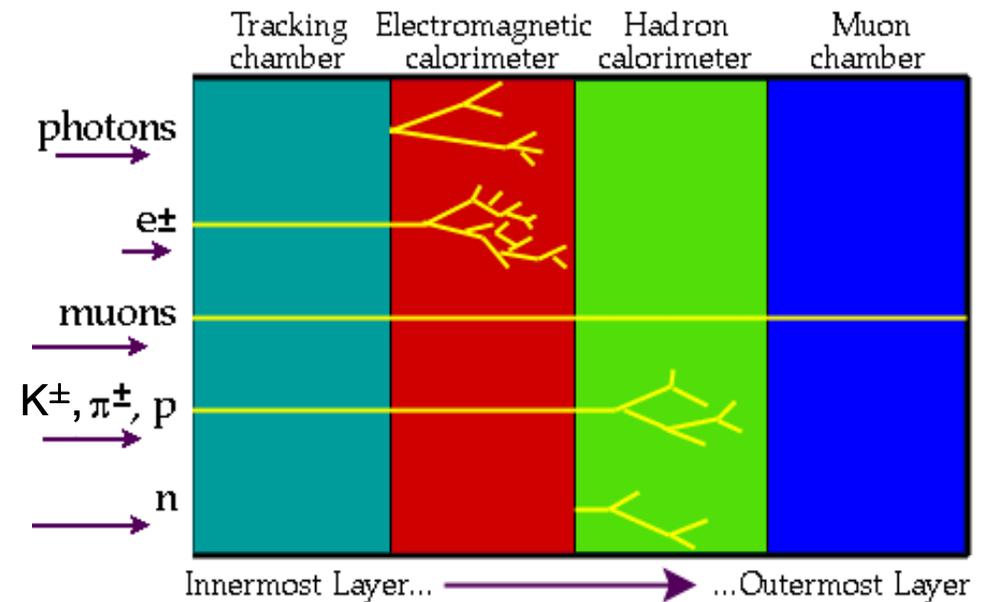
Decay Mode	Branching Fraction	Relative Background	Final State
Dilepton - no $\tau$ s	$\sim 5\%$	Low	$\ell\ell \nu\nu bb$
Lepton + Jets - no $\tau$ s	$\sim 30\%$	Medium	$\ell \nu bb jj$
All Hadronic	$\sim 45\%$	High	$bb jjjj$
Tauonic	$\sim 20\%$	High	



# Important Tool: Lepton ID

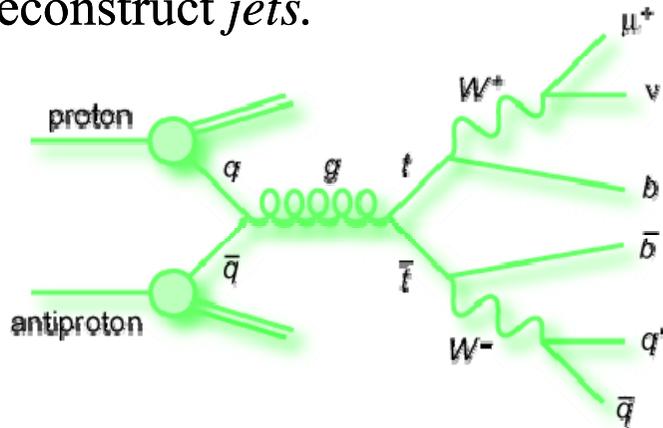


- For many analyses, we need a very pure set of high  $p_T$  electrons and muons.
- Electrons (as we reconstruct them):
  - Have charged particle track.
  - Leave almost all of their energy in the electromagnetic calorimeter.
  - Ask for no other nearby tracks.
    - We do not want leptons from (heavy flavor) jets.

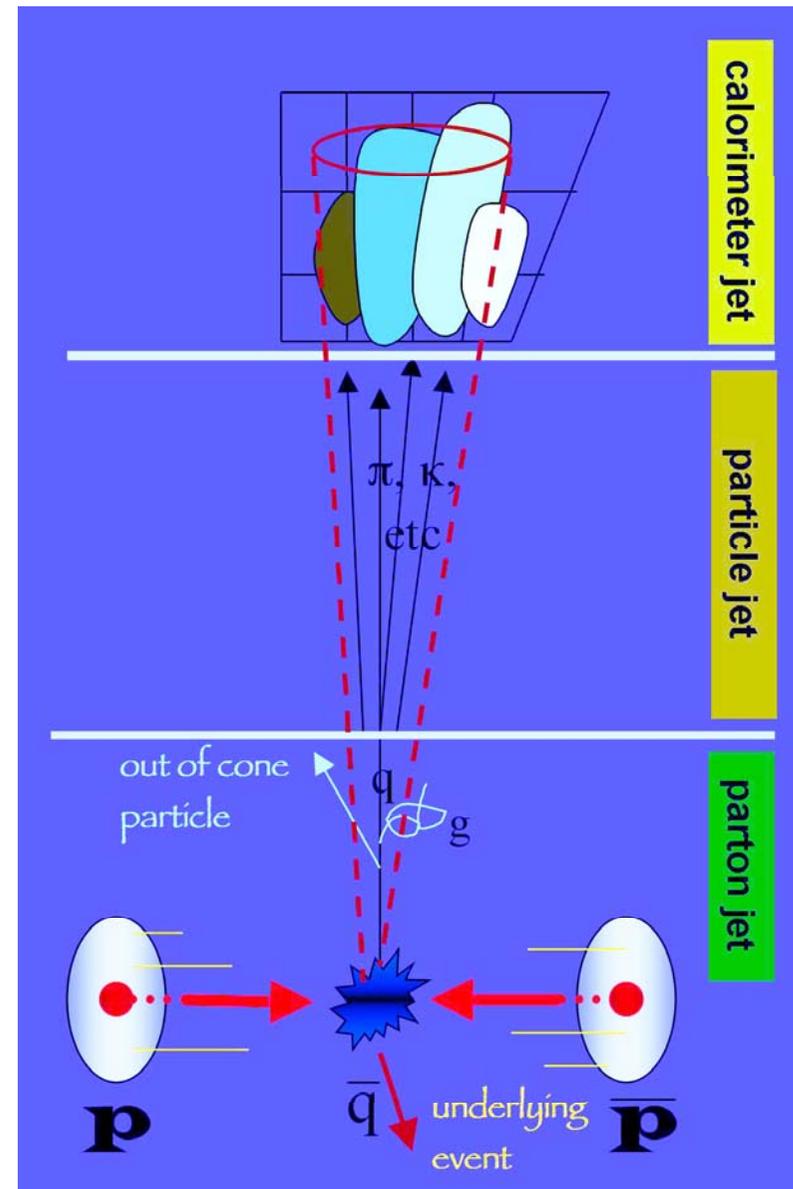


- Muons:
  - Have charged particle track.
  - $\sim$  Minimum ionizing (leave little energy in either the electromagnetic or hadronic calorimeter)
  - Find a “stub” of a track in dedicated muon detector systems on outside of CDF.
  - Ask for no other nearby tracks.

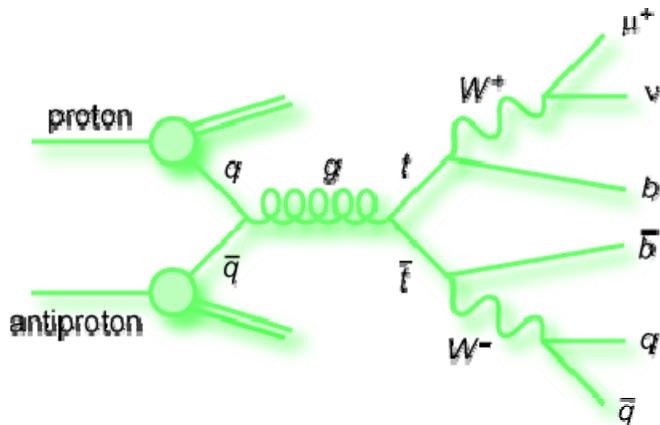
- We think of *partons*, but we reconstruct *jets*.



- We need to convert “*raw*” jets to “*corrected*” jets - Jet Energy Scale (JES) correction.
  - Takes into account detector effects, neutral particles in jets, particles outside of the jet cone, underlying events, multiple interactions, ...



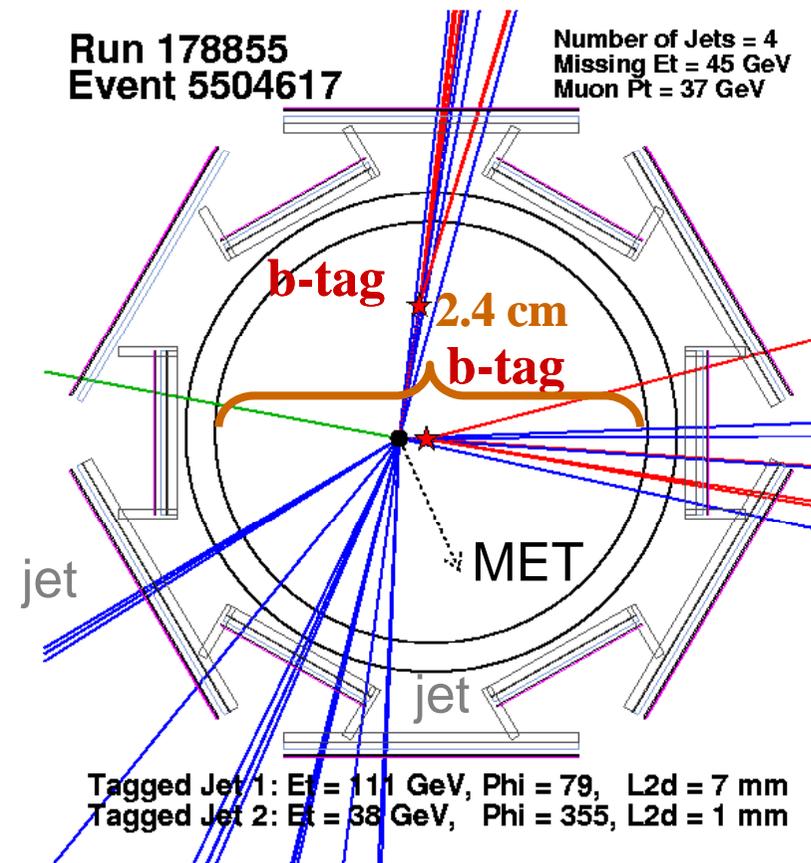
- Since we (often) expect  $t \rightarrow W b$ ,  
b jet tagging is a very important tool.
  - Most backgrounds do not have bottom quark jets.



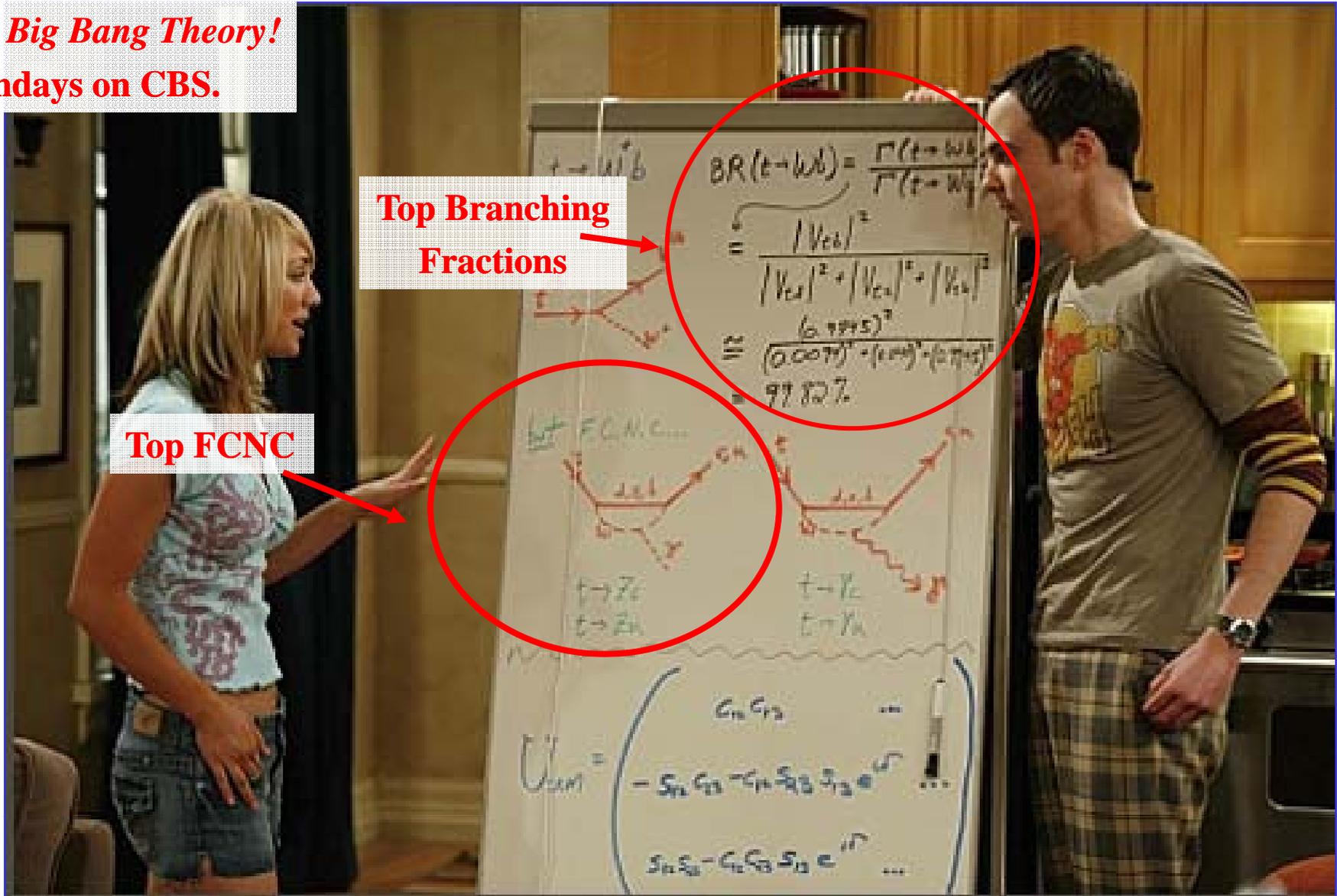
- We rely on the long b quark lifetime.
  - B hadrons can travel several millimeters before decaying.
  - Use displaced vertices or many displaced tracks (impact parameter).

CDF Event:

Close-up View of Layer 00 Silicon Detector



**The Big Bang Theory!**  
**Mondays on CBS.**





# Outline



The Tevatron and the CDF Experiment

Top Quark Physics

**The Search for Top FCNC Decay**

Summary



# Top FCNC Outline



## The Search for Top FCNC Decay

Introduction

Search For Invisible Top Decays

Direct FCNC Search

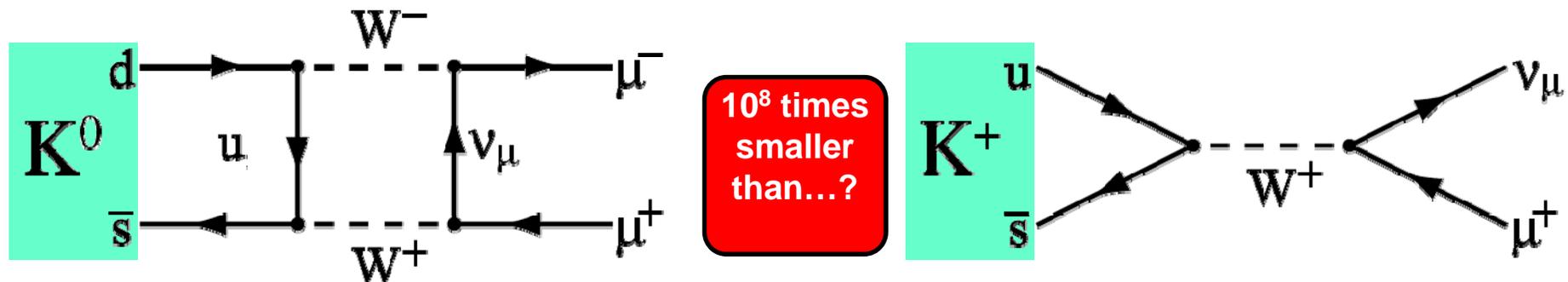
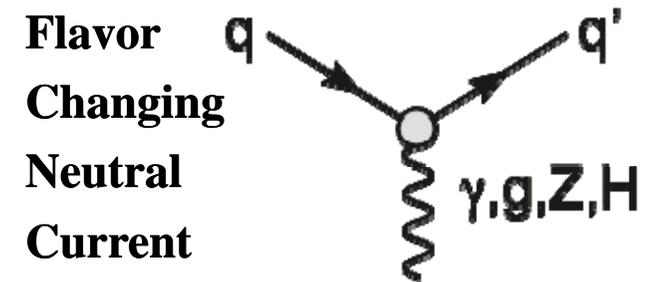
Acceptances

Backgrounds

Unblinding

Fitting For Everything

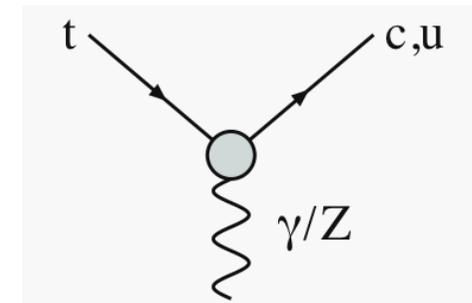
- Flavor changing neutral current (FCNC) interactions:
  - Transition from a quark of **flavor A** and **charge Q** to quark of **flavor B** with the **same charge Q**.
  - Examples:  $b \rightarrow s\gamma$ ,  $t \rightarrow Hc$ , ...
- 1960s: only three light quarks (u,d,s) known, **mystery** in kaon system:



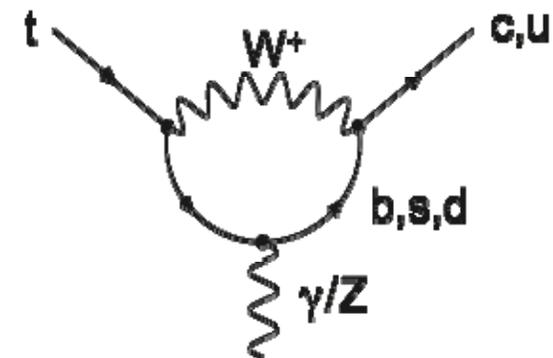
- Solution: “**GIM Mechanism**” (Glashow, Iliopoulos, Maiani, 1970)
  - Fourth quark** needed for cancellation in box diagram: prediction of charm quark.
  - Cancellation would be **exact** if all quarks had the **same mass**: estimate of charm quark mass.

- SM Higgs mechanism: weak neutral currents (NC) do not change the flavor of quarks/leptons (“flavor-diagonal”)  
⇒ no FCNC at “tree level.”
- FCNC possible e.g. via **penguin diagrams**.
- Suppression of this mode:
  - **GIM mechanism**
  - **Cabibbo suppression**
- Expected SM branching fraction (Br) for  $t \rightarrow Zc$  as small as  $10^{-14}$ .
- Any signal at the Tevatron or LHC: **New Physics**.

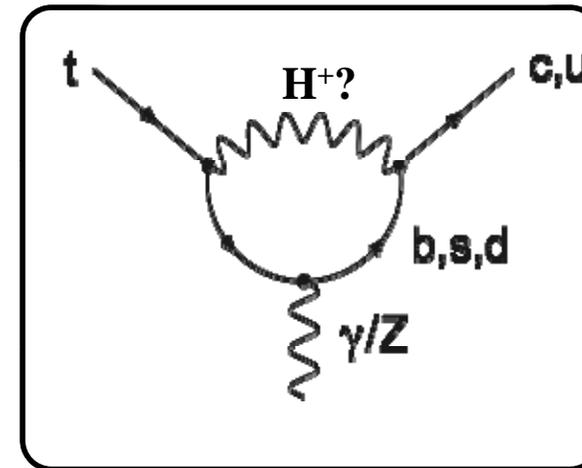
## Generic FCNC



## Penguin Diagram



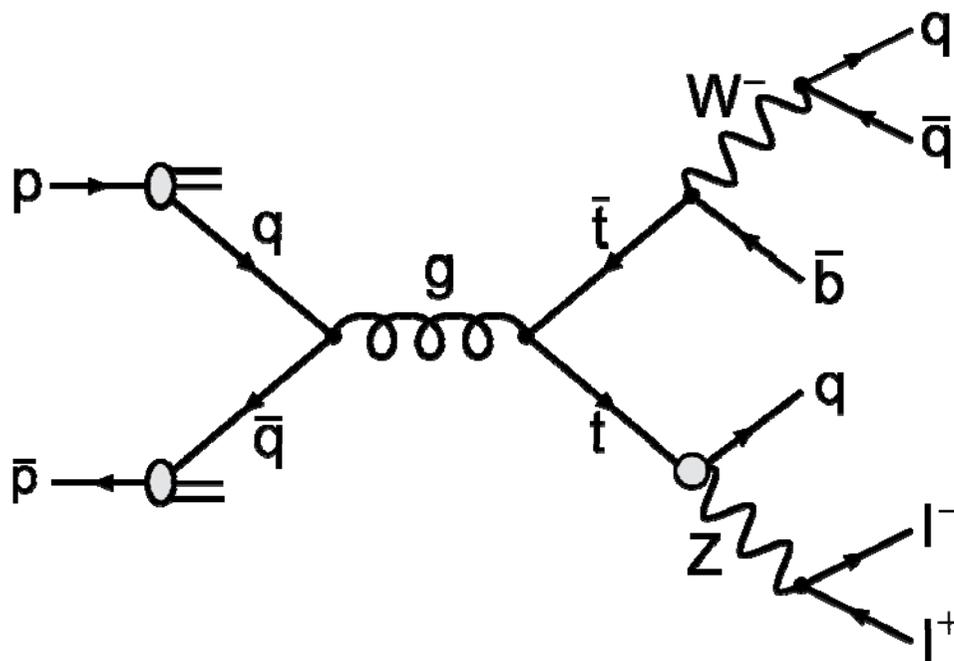
- FCNC are **enhanced** in many models of physics beyond the SM.
- Enhancement mechanisms:
  - FCNC interactions at **tree level**.
  - Weaker GIM cancellation by **new particles in loop corrections**.
- Examples:
  - **New quark singlets**: Z couplings not flavor-diagonal  $\rightarrow$  tree level FCNC.
  - **Two Higgs doublet** models: modified Higgs mechanism.
- Flavor changing Higgs couplings allowed at tree level.
- Virtual Higgs in loop corrections.
  - **Supersymmetry**: gluino/neutralino and squark in loop corrections.



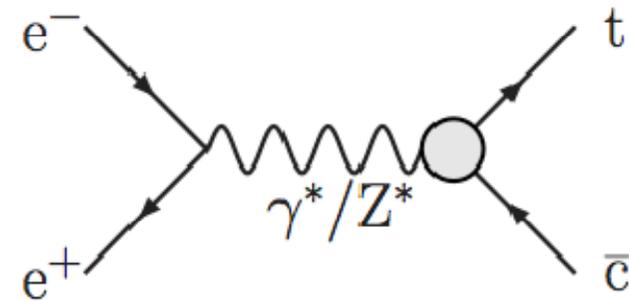
Model	BR( $t \rightarrow Zq$ )
Standard Model	$\mathcal{O}(10^{-14})$
$q = 2/3$ Quark Singlet	$\mathcal{O}(10^{-4})$
Two Higgs Doublets	$\mathcal{O}(10^{-7})$
MSSM	$\mathcal{O}(10^{-6})$
R-Parity violating SUSY	$\mathcal{O}(10^{-5})$

[after J.A. Aguilar-Saavedra,  
Acta Phys. Polon **B35** (2004) 2695]

- Run I Search:
  - 110 pb<sup>-1</sup> of data
  - $t\bar{t} \rightarrow Zc Wb \rightarrow Z+\geq 4j$
  - Limit: **Br (t → Zc) < 33%** at 95% C.L.



- Limit from LEP II
  - search for single top production:  
 $e^+ e^- \rightarrow t \bar{c}$



- 634 pb<sup>-1</sup>
- Limit: **Br (t → Zc) < 13.7%** at 95% C.L.
- ⇒ **Best limit so far with Z bosons.**



# Top FCNC Outline



## The Search for Top FCNC Decay

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# Search for Invisible Top Decays

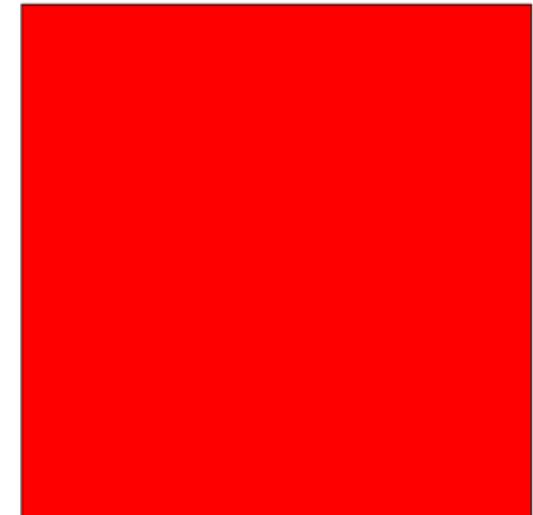


- It is the *relative* reconstruction efficiency  $\otimes$  acceptance that determines the relative yield.
  - $\mathcal{R}_{w\mathbf{x}/ww}$  is the relative acceptance when one top decays to the  $Wb$  while the other decays to the new decay,  $XY$ .
  - $\mathcal{R}_{\mathbf{xx}/ww}$  is the relative acceptance when both top quarks decays to the new decay,  $XY$ .

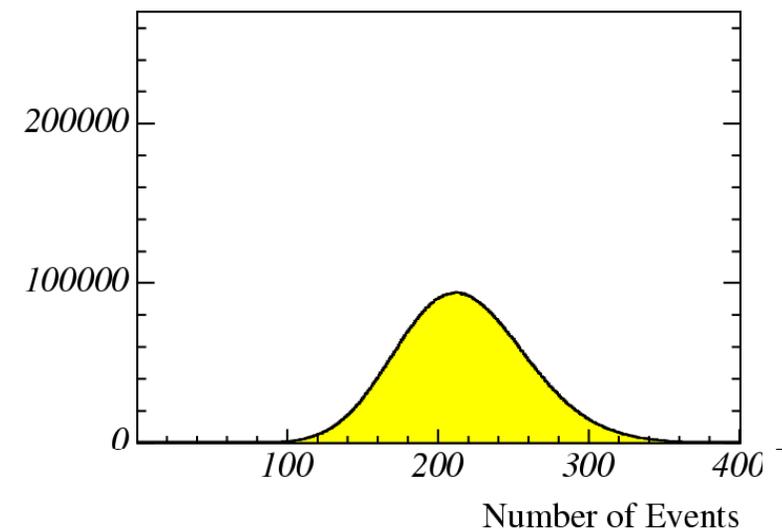
$$\text{Yield} \propto \mathcal{P}(t\bar{t} \rightarrow Wb Wb) + \mathcal{P}(t\bar{t} \rightarrow Wb XY) \cdot \mathcal{R}_{w\mathbf{x}/ww} + \mathcal{P}(t\bar{t} \rightarrow XY XY) \cdot \mathcal{R}_{\mathbf{xx}/ww}$$

- Compare expected yield to observed number of candidate events.
  - Create Feldman-Cousins acceptance bands using number of observed events.
  - $t \rightarrow Zc, t \rightarrow gc, t \rightarrow \gamma c, t \rightarrow \text{Invisible}$ .

Br ( $t \rightarrow Wb$ ) = 100%    Br ( $t \rightarrow xy$ ) = 0%



$t \rightarrow$  Invisible PEs for 0% Branching Fraction

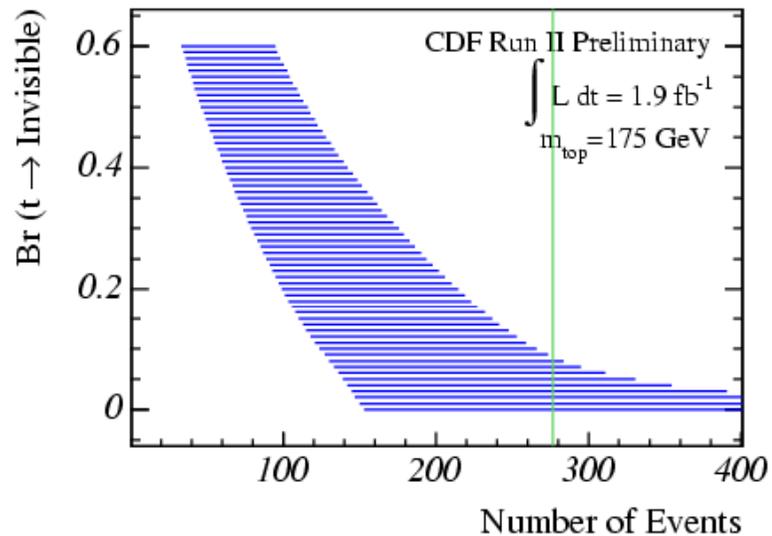




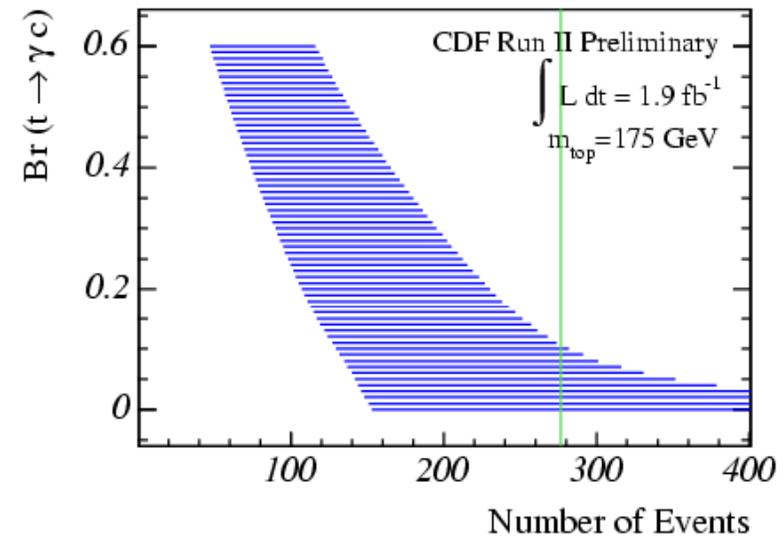
# Feldman-Cousins Acceptance Bands



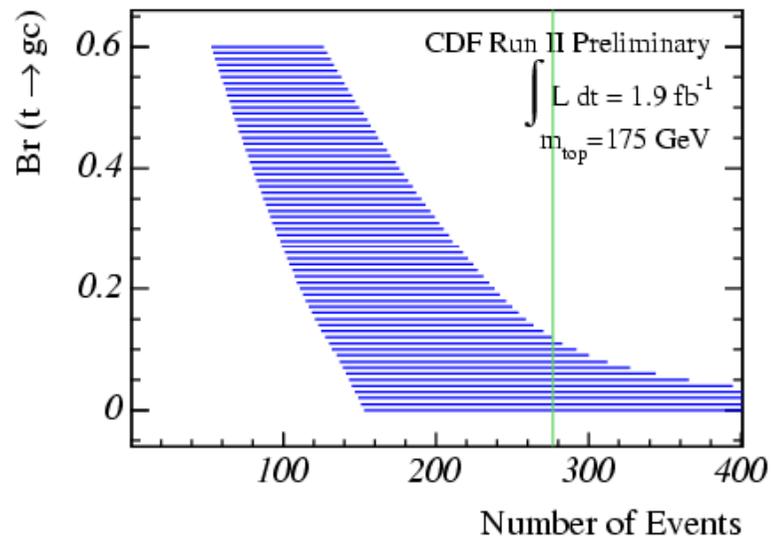
**FC Bands for  $t \rightarrow \text{Invisible}$**



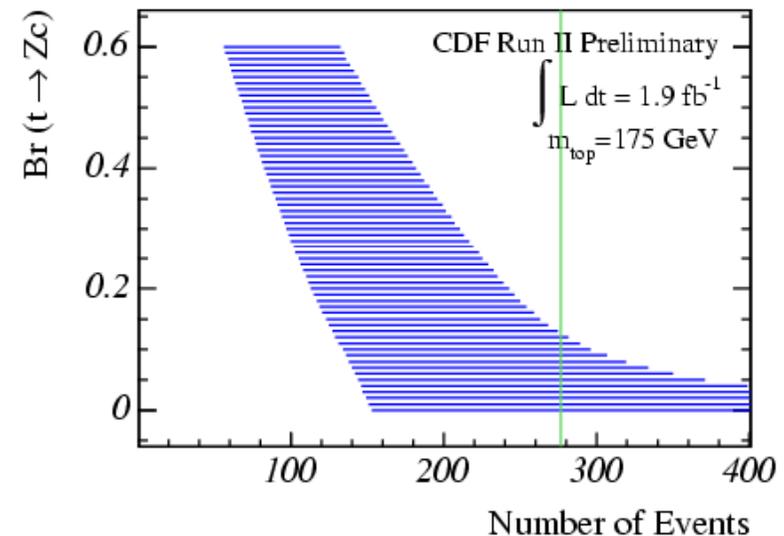
**FC Bands for  $t \rightarrow \gamma c$**



**FC Bands for  $t \rightarrow gc$**



**FC Bands for  $t \rightarrow Zc$**





# Search for Invisible Top Decays, cont.



- From Cacciari et al. (hep-ph: 0804.2800) assuming CTEQ PDFs.
- Expected Limits:

CDF Run II Preliminary 1.9 fb<sup>-1</sup>

Decay	$\mathcal{B}_{\text{wx/ww}}$ (%)	175 GeV (%)
$t \rightarrow Zc$	32	28 <sup>-14</sup> <sub>-12</sub>
$t \rightarrow gc$	27	26 <sup>-14</sup> <sub>-11</sub>
$t \rightarrow \gamma c$	13	24 <sup>-12</sup> <sub>-10</sub>
$t \rightarrow \text{invisible}$	0	20 <sup>-10</sup> <sub>-8</sub>

$$\int \mathcal{L} dt = 1.9 \text{ fb}^{-1}$$

**Better  
Than L3's  
Published Limit!**

- Observed Limits:

CDF Run II Preliminary 1.9 fb<sup>-1</sup>

Decay	$\mathcal{B}_{\text{wx/ww}}$ (%)	Upper Limit (%) (175 GeV)	Upper Limit (%) (172.5 GeV)
$\mathcal{B}(t \rightarrow Zc)$	32	13	15
$\mathcal{B}(t \rightarrow gc)$	27	12	14
$\mathcal{B}(t \rightarrow \gamma c)$	18	11	12
$\mathcal{B}(t \rightarrow \text{invisible})$	0	9	10

**World's First  
Measurement!**



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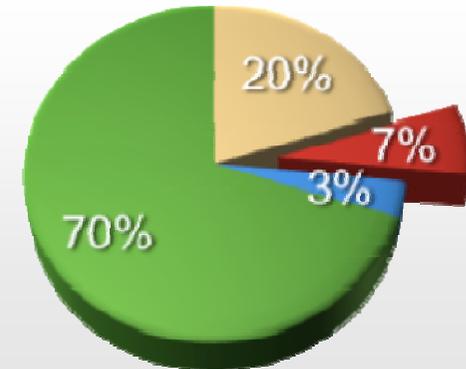
# Top FCNC Direct Search: Roadmap



- Basic question: how often do top quarks decay into  $Zc$ ?
  - Measure (or set limit) on **branching fraction,  $Br(t \rightarrow Zc)$** .
  - Normalize to **lepton + jets top pair decays**.
- Selection of decay channels for  $tt \rightarrow Zc Wb$ :
  - **$Z \rightarrow$  charged leptons**: very clean signature, lepton trigger.
  - **$W \rightarrow$  hadrons**: large branching fractions, no neutrinos .  
 $\Rightarrow$  Event can be fully reconstructed
  - Final signature:  **$Z + \geq 4$  jets**.

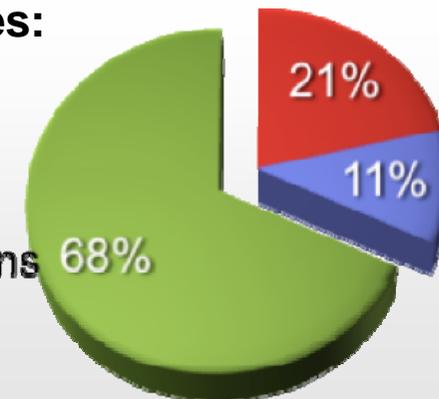
## Z Decay Modes:

- $Z \rightarrow \nu\nu$
- $Z \rightarrow ee/\mu\mu$
- $Z \rightarrow \tau\tau$
- $Z \rightarrow$  hadrons



## W Decay Modes:

- $W \rightarrow lv$
- $W \rightarrow \tau\nu$
- $W \rightarrow$  hadrons

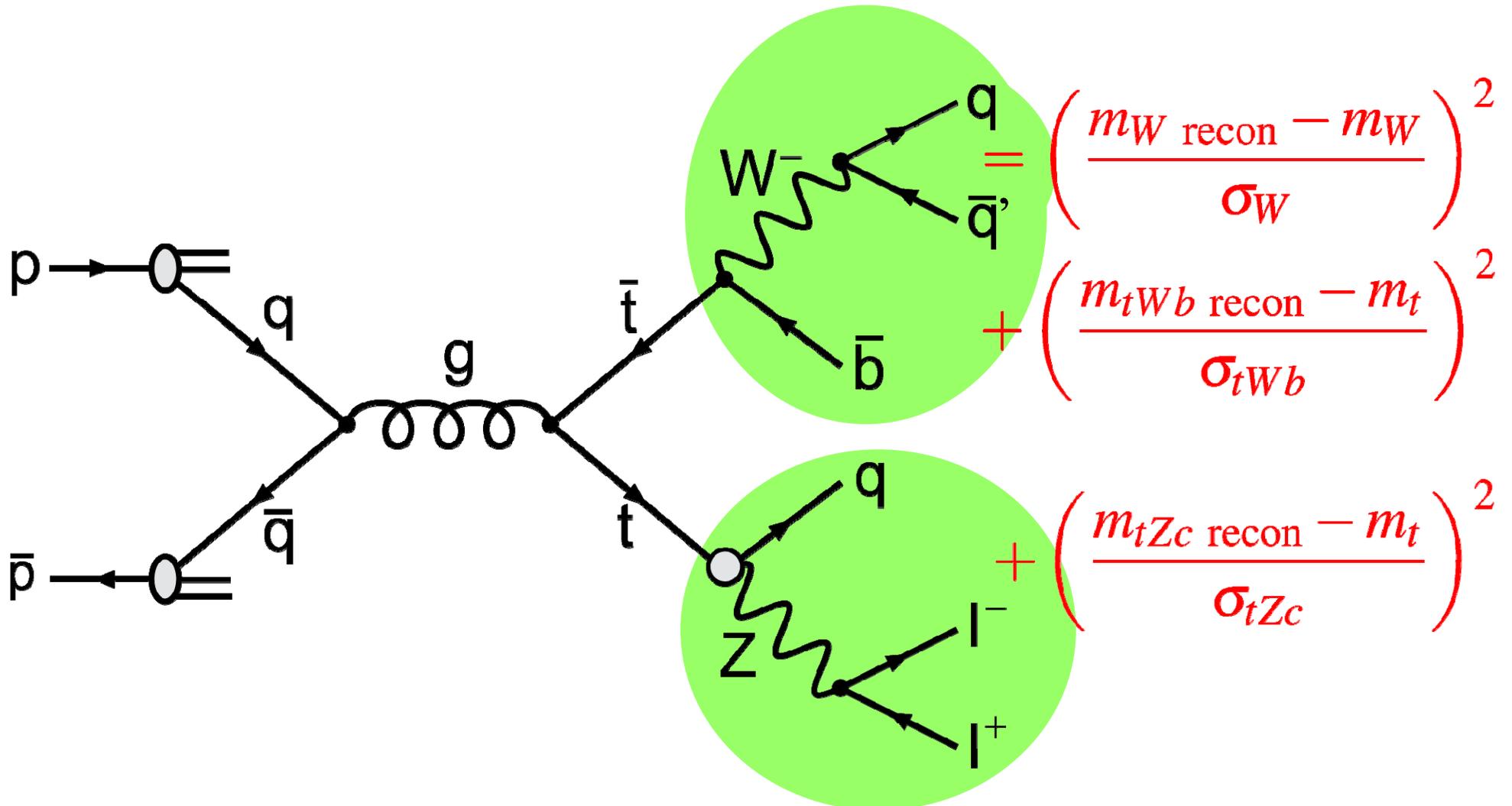




# Search for FCNC: Ingredients



$\chi^2_{\text{mass reconstruction}}$





# Top Mass Reconstruction



- For our signal, we have three hadronic masses to reconstruct:
  - W mass
  - $t \rightarrow Wb$  mass
  - $t \rightarrow Zc$  mass

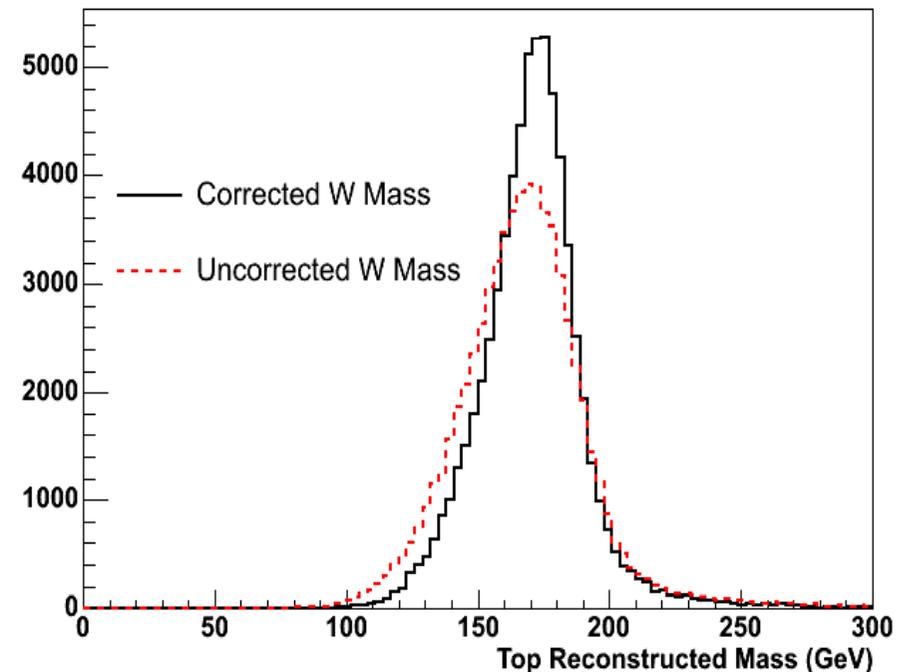
$t \rightarrow Wb$  mass

resolution:

20 GeV  $\Rightarrow$  16 GeV!

- To improve resolution, we correct the W and Z daughters so that the masses are correct.
  - Rescale the daughters within their resolutions.
  - **Smaller mass resolution**  $\Rightarrow$
  - **Better signal separation.**

Signal MC with partons correctly matched to reconstructed objects.

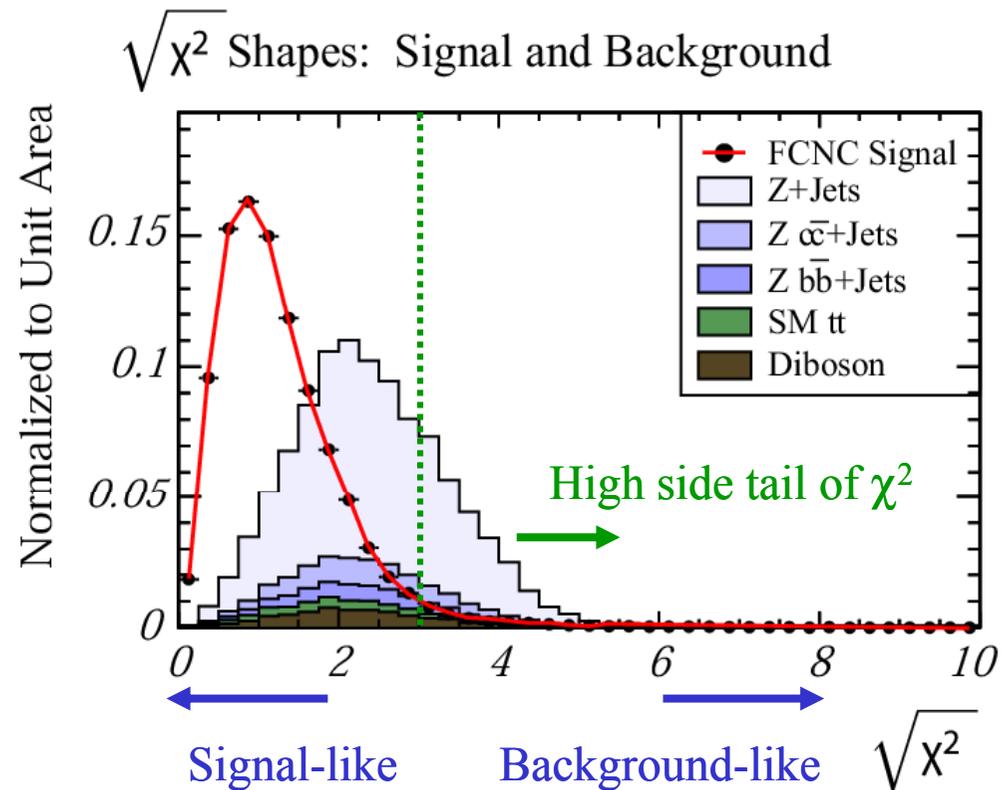




# Mass $\chi^2$



- We do not know which partons are reconstructed as which jets.  
⇒ Loop over all 12 permutations and take lowest  $\chi^2$  value.





# Round 1: Blind Analysis



- Event signature:  $Z \rightarrow l^+ l^- + 4 \text{ jets}$ .
- Motivation for blind analysis: **Avoid biases** by looking into the data too early.
- Blinding & unblinding strategy:
  - Initial blinded region:  $Z + \geq 4 \text{ jets}$ .
  - Later: add **control region** in  $Z + \geq 4 \text{ jets}$  from high side tail of mass  $\chi^2$ .
  - Optimization of analysis on **data control regions** and **Monte Carlo (MC) simulation only**.
  - Very last step: “**opening the box**”, *i.e.*, look into signal region in data.
  - **Counting experiment**:
    - $\Rightarrow$  Compared expected background to observed events.



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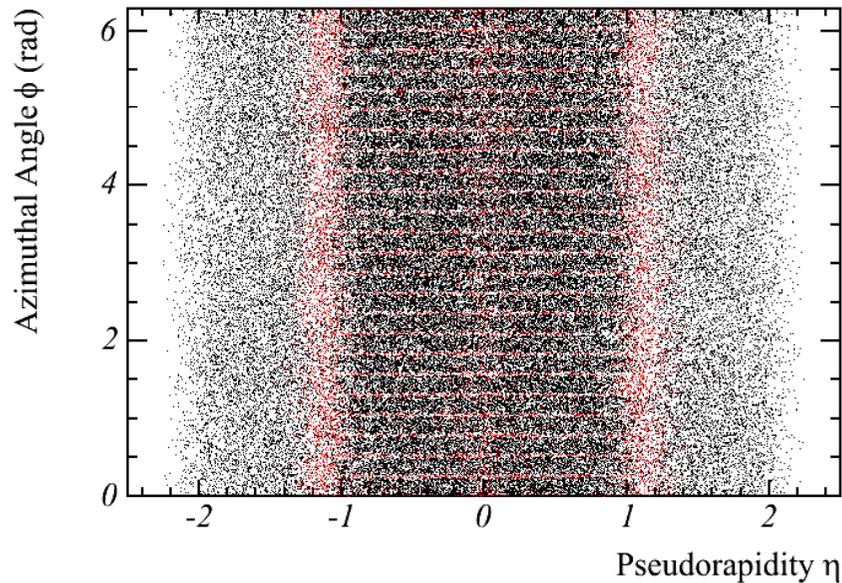
Fitting For Everything



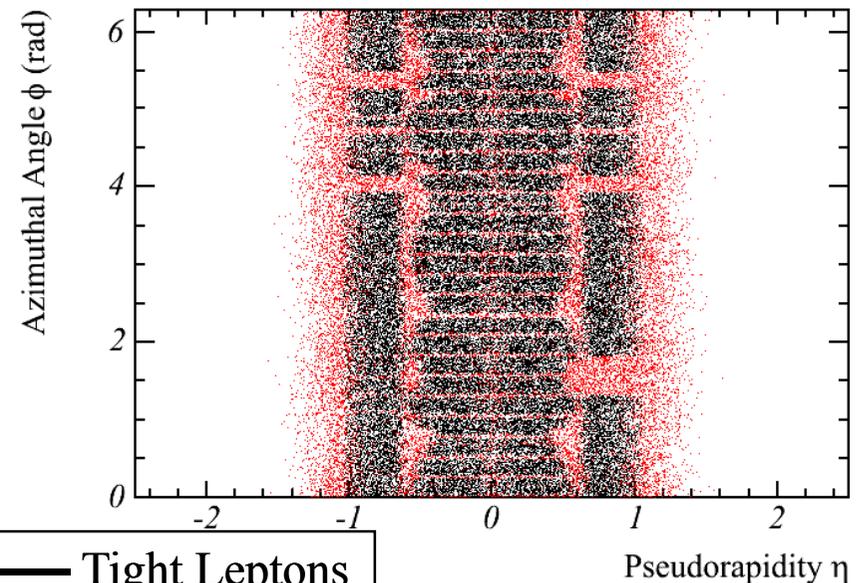
# Lepton + Track Z Candidates



$\eta$ - $\phi$  Coverage: Electrons



$\eta$ - $\phi$  Coverage: Muons



— Tight Leptons  
— Track Leptons

- Use isolated track (instead of tight lepton) for second lepton.
  - **Doubles** acceptance.
  - Almost all backgrounds have real leptons.
- Base Event Selection:
  - Tight lepton + track lepton Z candidate.
  - At least four jets ( $|\eta| < 2.4$ , corrected  $E_T > 15$  GeV).



# To B-Tag or not to B-Tag?



- **Advantage** of requiring b-tag:  
⇒ Better discrimination against main background (Z + jets).
- **Disadvantage**:  
⇒ Reduction of data sample size.

Sample	Before tagging	At least 1 b-tag
Background	130 (100%)	20 (15%)
Relative Signal Acceptance	100%	50%

- Solution: **Use both!**
  - **Split sample** in *tagged* (at least one tagged jet) and *anti-tagged* (no tagged jets).
  - **Optimize cuts individually** for tagged and anti-tagged samples.
  - **Combine samples** in limit calculation.

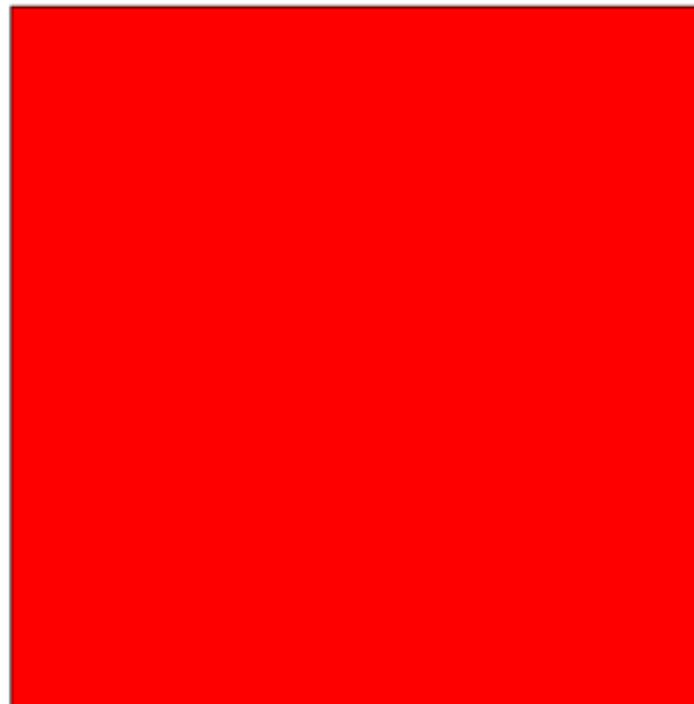


# Acceptance Calculation: Catch 22?



$$N_{\text{signal}} = [(\mathcal{P}(t\bar{t} \rightarrow WbZc) \cdot \mathcal{A}_{WZ}) + (\mathcal{P}(t\bar{t} \rightarrow ZcZc) \cdot \mathcal{A}_{ZZ})] \cdot \sigma_{t\bar{t}} \cdot \int \mathcal{L} dt$$

Br ( $t \rightarrow Wb$ ) = 100%      Br ( $t \rightarrow Zc$ ) = 0%



- $P(t\bar{t} \rightarrow WbWb) = 100.00\%$
- $P(t\bar{t} \rightarrow WbZc) = 0.00\%$
- $P(t\bar{t} \rightarrow ZcZc) = 0.00\%$



# Solution: Running Acceptance

$$\mathcal{N}_{\text{signal}} = [(\mathcal{P}(t\bar{t} \rightarrow WbZc) \cdot \mathcal{A}_{WZ}) + (\mathcal{P}(t\bar{t} \rightarrow ZcZc) \cdot \mathcal{A}_{ZZ})] \cdot \sigma_{t\bar{t}}(B_Z) \cdot \int \mathcal{L} dt$$

... 1/2 page of algebra ...

$$= B_Z \cdot (\mathcal{N}_{LJ} - B_{LJ}) \cdot \frac{\mathcal{A}_{WZ}}{\mathcal{A}_{LJWW}} \cdot \frac{(2 \cdot (1 - B_Z) + K_{ZZ/WZ} \cdot B_Z)}{(1 - B_Z)^2 + 2 \cdot B_Z(1 - B_Z) \cdot \mathcal{R}_{WZ/WW} + B_Z^2 \cdot \mathcal{R}_{ZZ/WW}}$$

L+J yield
Acc. Ratio
“Running” Acceptance Correction

- Acceptance and  $\sigma_{t\bar{t}}$  depend on  $B_Z$
- Our limit code recalculates acceptance as a function of branching fraction.
- Normalization to **double-tagged** top pair cross section measurement:
  - **Smallest overlap** ( $\mathcal{R}_{WZ/WW}$ ) between acceptances.

$B_Z$	$\equiv$	$Br(t \rightarrow Zc) = 1 - Br(t \rightarrow Wb)$
$\mathcal{A}_{WZ}$	$\equiv$	FCNC acceptance
$\mathcal{A}_{ZZ}$	$\equiv$	Double FCNC acceptance
$\mathcal{A}_{LJWW}$	$\equiv$	L+J acceptance for SM $t\bar{t}$
$\mathcal{A}_{LJWZ}$	$\equiv$	L+J acceptance for FCNC
$\mathcal{A}_{LJZZ}$	$\equiv$	L+J acceptance for FCNC
$K_{ZZ/WZ}$	$\equiv$	$\mathcal{A}_{ZZ} / \mathcal{A}_{WZ}$
$\mathcal{R}_{WZ/WW}$	$\equiv$	$\mathcal{A}_{LJWZ} / \mathcal{A}_{LJWW}$
$\mathcal{R}_{ZZ/WW}$	$\equiv$	$\mathcal{A}_{LJZZ} / \mathcal{A}_{LJWW}$



# Top FCNC Outline



## The Search for Top FCNC Decay

Introduction

Search For Invisible Top Decays

Direct FCNC Search

Acceptances

Backgrounds

Unblinding

Fitting For Everything



# Expected Backgrounds



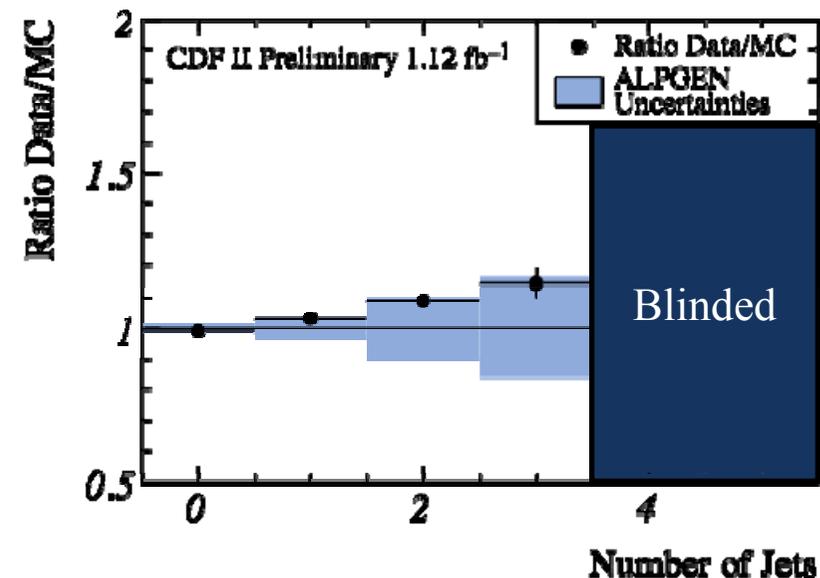
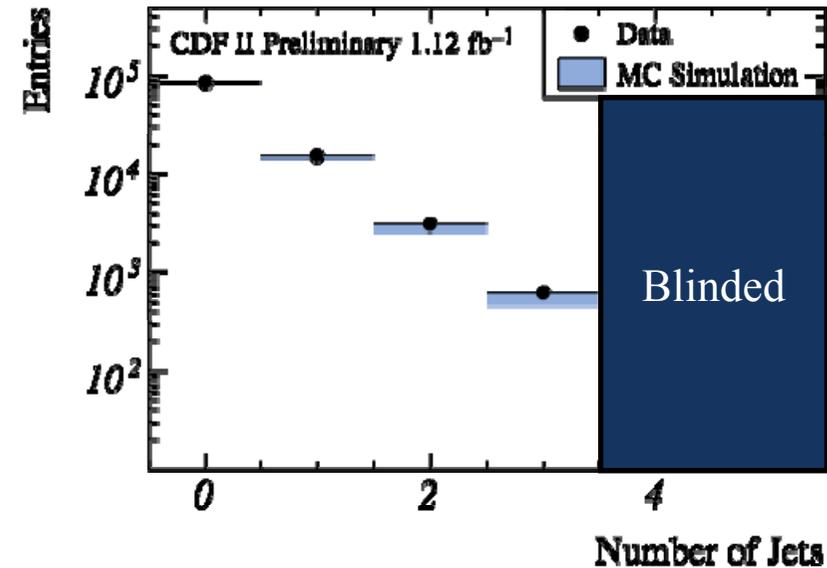
- How do you search for a signal that is likely not there? **Understand the background!**
- Standard model processes that can mimic  $Z + \geq 4$  jets signature:
  - **Z+Jets**: Z boson production in association with jets  
→ **dominant background** for top FCNC search, most difficult to estimate
  - **Standard model top pair** production  
→ **small** background
  - **Dibosons**: WZ and ZZ diboson production → **small** background
  - **W+Jets, WW**: negligible
- Top FCNC background estimate: mixture of data driven techniques and MC predictions



# Z+Jets Production



- MC tool for Z+Jets: **ALPGEN**
  - Modern MC generator for multiparticle final states
  - “**MLM matching**” prescription to remove overlap between jets from matrix element and partons showers
- Comparing ALPGEN with data:
  - Leading order generator: **no absolute prediction** for cross section.
  - After normalization to total Z yield, still **underestimates** of number of events with large jet multiplicities.
- Our strategy: only **shapes** of kinematic distributions **from MC**, **normalization** from **control samples in data**.
  - Normalize to the high side tail of mass  $\chi^2$  in data.

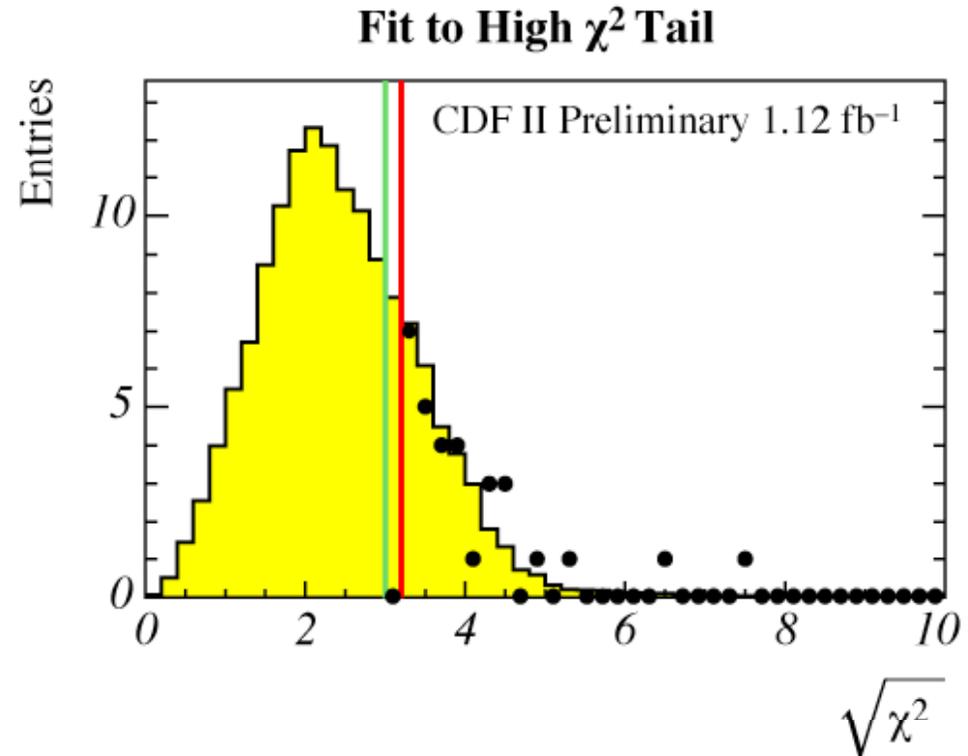




# Base Selection Background Estimate



- Fit from high side of  $\chi^2$  tail :  
 **$130 \pm 28$  total background events.**
- Background tagging rate:
  - 5 of 31 events are tagged.
  - Combine with data-based method in lower jet bins. **$\Rightarrow 15\% \pm 4\%$  background event tag rate.**



Selection	Expected
Base Selection	$130 \pm 28$
Base Selection (Tagged)	$20 \pm 6$



# Optimized Signal Region Selection

- Optimized for best average expected limit.

Kinematic Variable	Optimized Cut
Z Mass	$\in [76, 106] \text{ GeV}/c^2$
Leading Jet $E_T$	$\geq 40 \text{ GeV}$
Second Jet $E_T$	$\geq 30 \text{ GeV}$
Third Jet $E_T$	$\geq 20 \text{ GeV}$
Fourth Jet $E_T$	$\geq 15 \text{ GeV}$
Transverse Mass	$\geq 200 \text{ GeV}$
$\sqrt{\chi^2}$	$< 1.6$ ( <i>b</i> -tagged)
	$< 1.35$ (anti-tagged)

Selection	Expected
Anti-Tagged Selection	$7.7 \pm 1.8$
Tagged Selection	$3.2 \pm 1.1$

- Systematic uncertainties are taken into account, but do not affect limit very strongly.

**Expected Limit:**  
 **$6.8\% \pm 2.9\%$**



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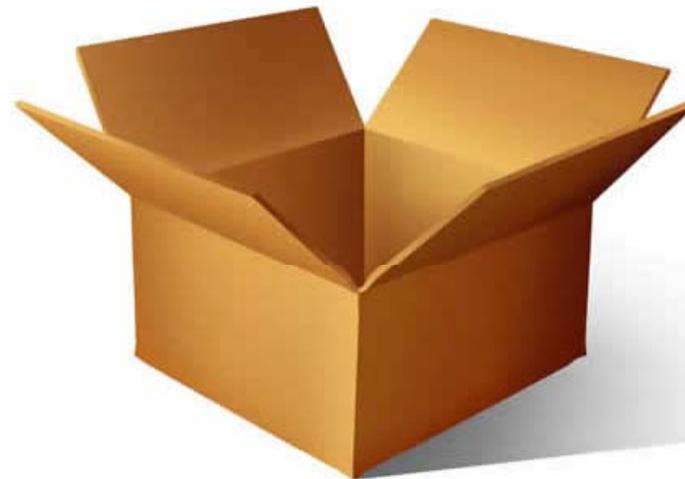
# First Look



- Before we unblind the signal regions, we want to check our base predictions:

<b>Selection</b>	<b>Observed</b>	<b>Expected</b>
Base Selection	141	$130 \pm 28$
Base Selection (Tagged)	17	$20 \pm 6$

- So far, so good... Let's open the box!





# Open the Signal Box



- Opening the box with  $1.1 \text{ fb}^{-1}$ 
  - Event yield consistent with **background only**.
  - Fluctuated about  $1\sigma$  high: slightly “*unlucky*.”
  - *Or is it the first hint of a signal?!*

Selection	Observed	Expected
Base Selection	141	$130 \pm 28$
Base Selection (Tagged)	17	$20 \pm 6$
Anti-Tagged Selection	12	$7.7 \pm 1.8$
Tagged Selection	4	$3.2 \pm 1.1$

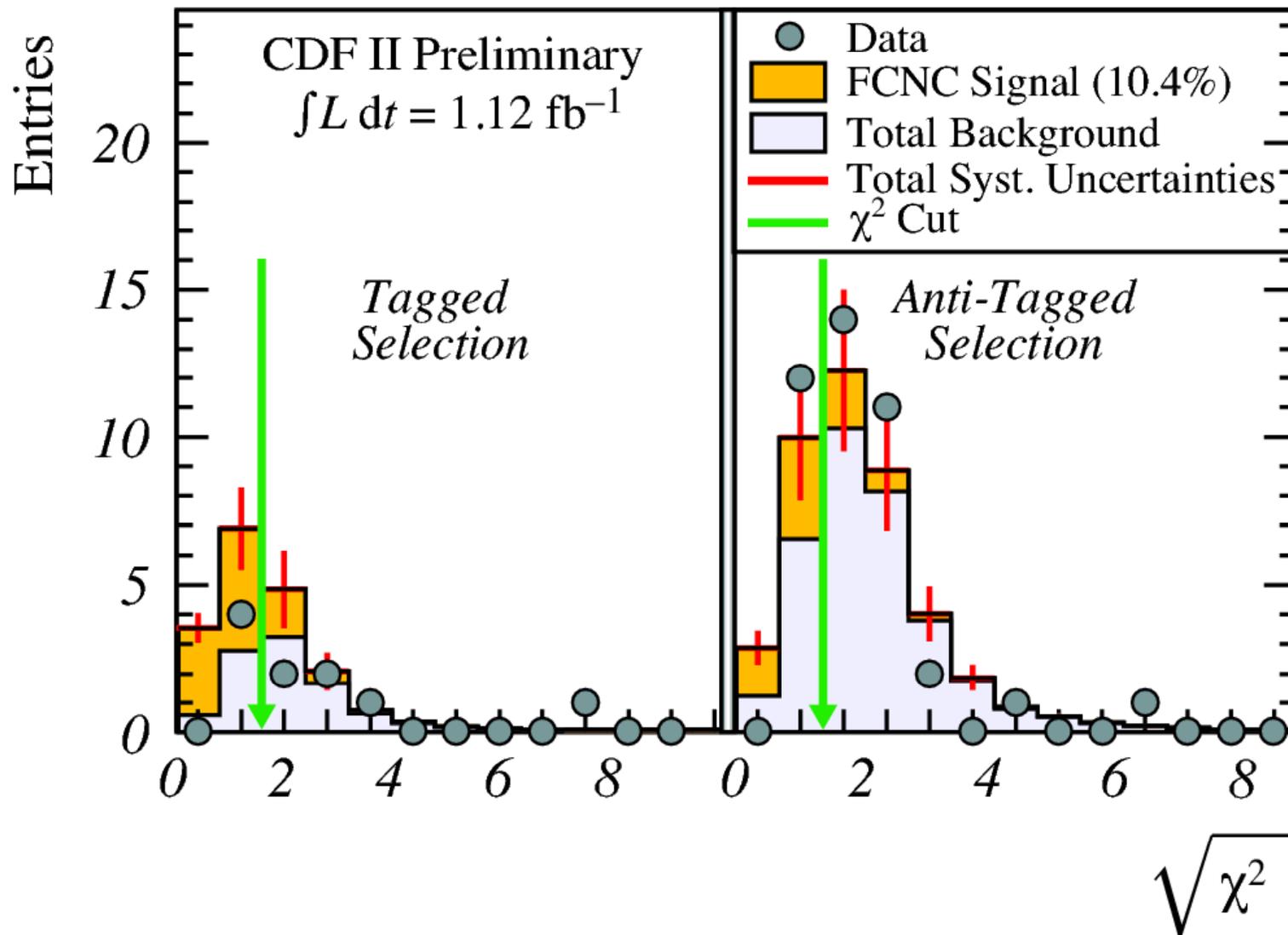
- Result:

$$\mathcal{B}(t \rightarrow Zq) < 10.4\% \text{ @ } 95\% \text{ C.L.}$$

- Expected limit:  $6.8\% \pm 2.9\%$ .



# Mass $\chi^2$ (95% C.L. Upper Limit)





*The World's Is Not Enough  
Best  
Limit*



# Top FCNC Outline



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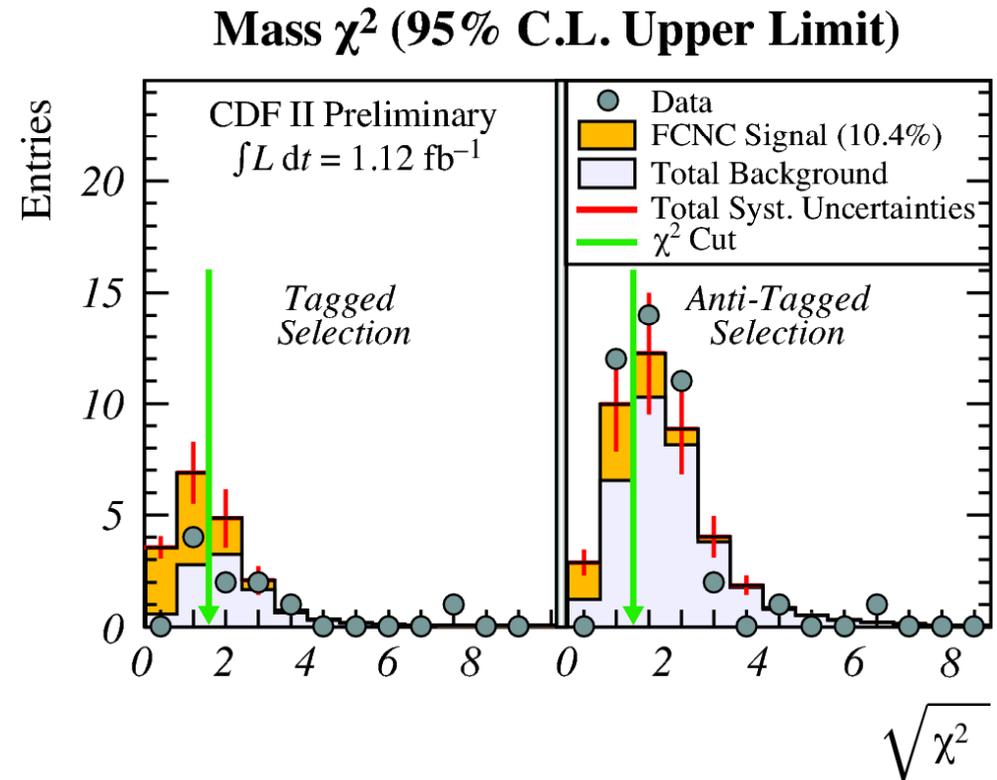
Fitting For Everything



# Round 2: Is That The Best We Can Do?



- More  $\int \mathcal{L} dt$ :
  - Add 70% more data ( $1.9 \text{ fb}^{-1}$ ).
- Fit  $\chi^2$  Shape:
  - Previous version: counting experiment.
  - Template fit to  $\sqrt{\chi^2}$  shape: exploit full shape information, less sensitive to background normalization.
- Build on previous experience:
  - Same event selection
  - Same acceptance algebra
  - Same method of calculating (most) systematic uncertainties





# Differences From Counting Experiment



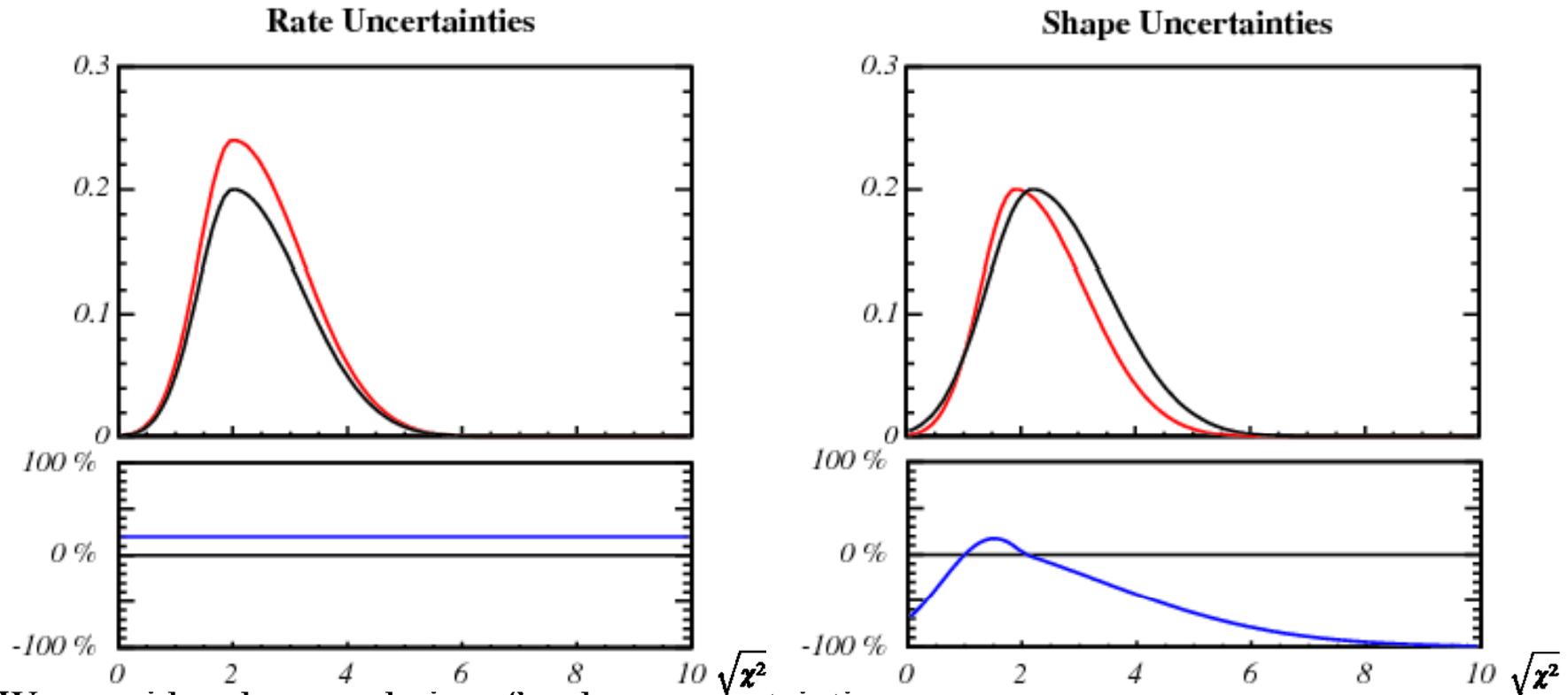
- **Advantages:**
  - Absolute estimation of Z + jets background is difficult. This drove the counting experiment.
  - Since we are fitting:
    - No absolute Z + jets background estimation needed.
    - No estimate of Z + jets tagging fraction needed.
  - ⇒ Let these both float in the fit.
    - Smaller backgrounds are fixed to SM expectations.
- **Disadvantages:**
  - Counting experiment does not have shape systematic uncertainties.
    - **Counting experiment:** Only worry about ratios of acceptances.
    - **Fit  $\chi^2$ :** We need to understand and account for this.



# Shape Uncertainties



- What do we mean by “*shape uncertainties*”?



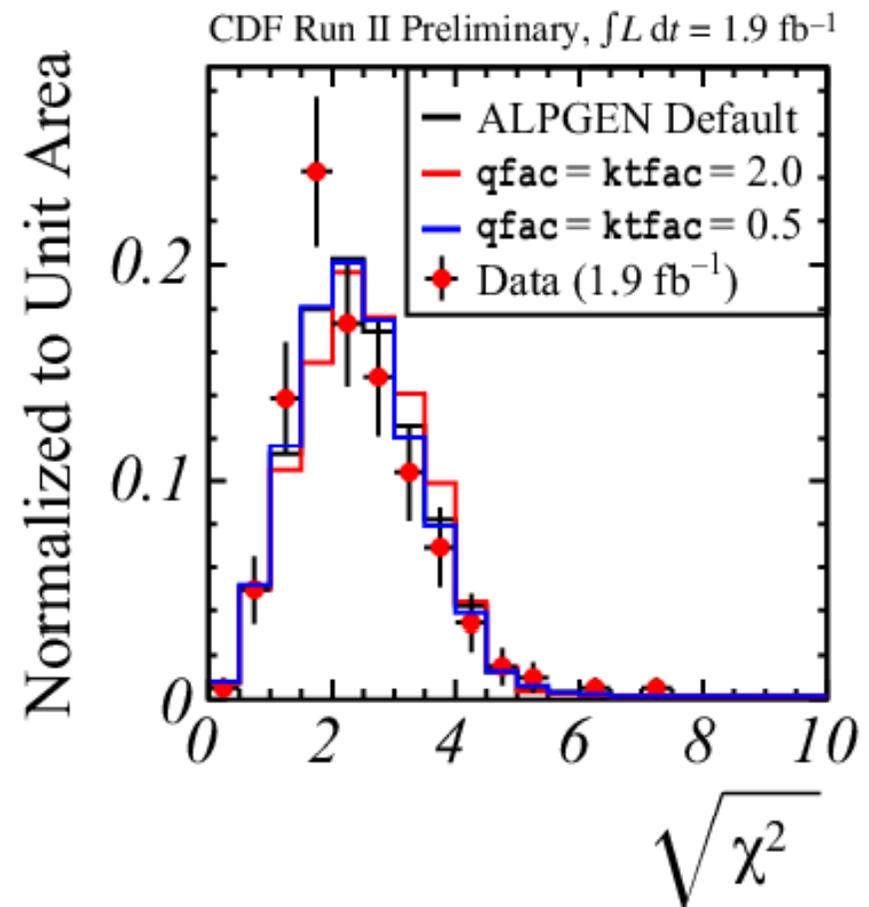
- We considered many choices for shape uncertainties.
- The two dominant effects were much larger than all others.
  - Factorization/Renormalization ( $Q^2$ ) scale for Z + jets MC.
  - Jet energy scale uncertainties.



# Shape Uncertainties: $Q^2$



- ALPGEN: two  $Q^2$  “knobs” to turn.
  - Factorization/renormalization scale:  
$$Q = \text{qfac} \times \sqrt{M_Z^2 + \sum p_T^2(p)}$$
  - Vertex  $Q^2$  (for evaluation of  $\alpha_s$ ):  
$$Q = \text{ktfac} \times p_T$$
  - We turn both at the same time.
  - Not enough to explain data.

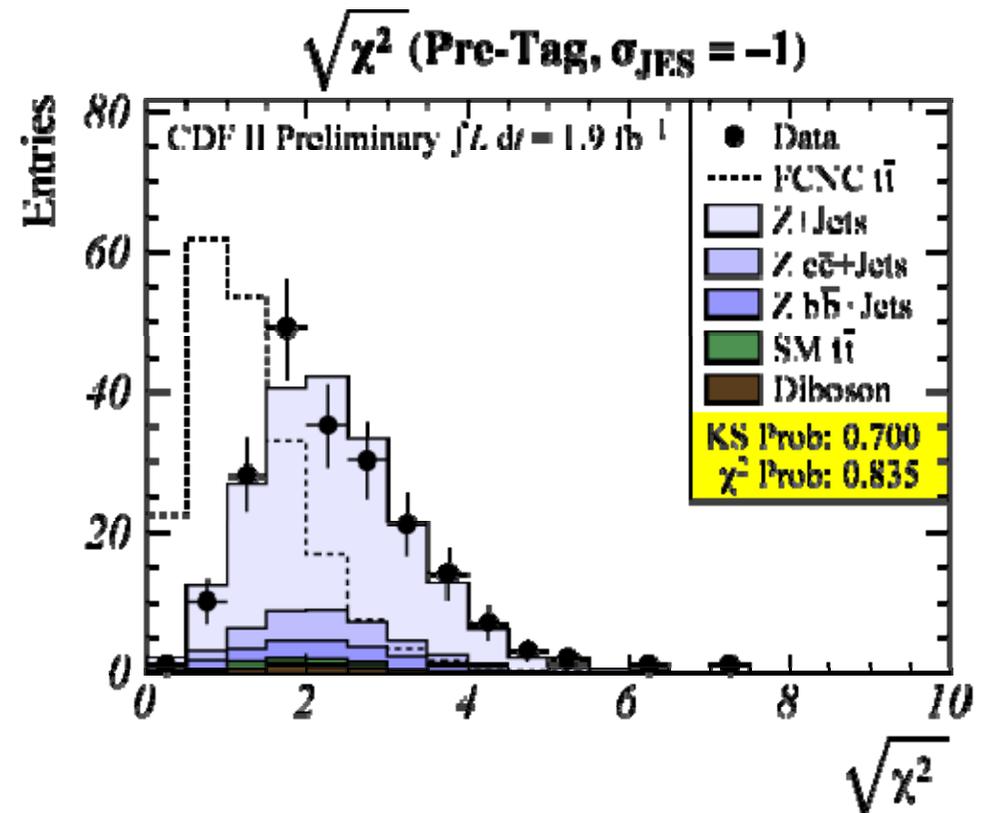
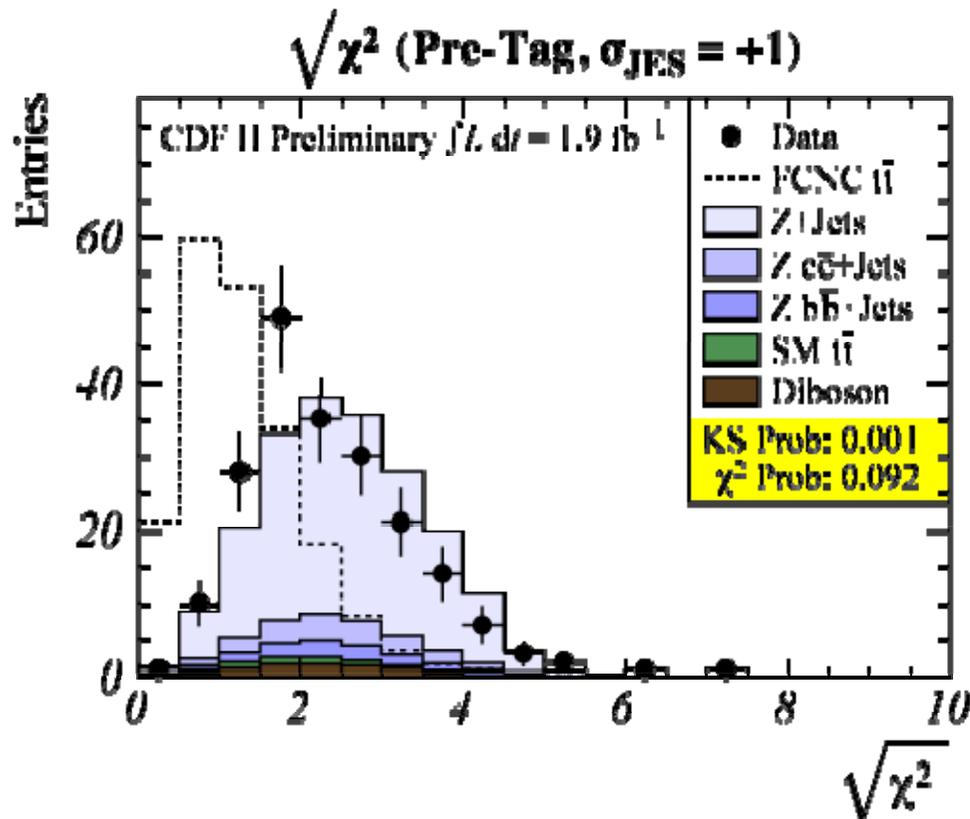




# Shape Uncertainties: JES



- We need to convert “raw” jets to “corrected” jets  
⇒ **Jet Energy Scale correction (JES)**
  - Takes into account detector effects, neutral particles in jets, particles outside of the jet cone, underlying events, multiple interactions, ...

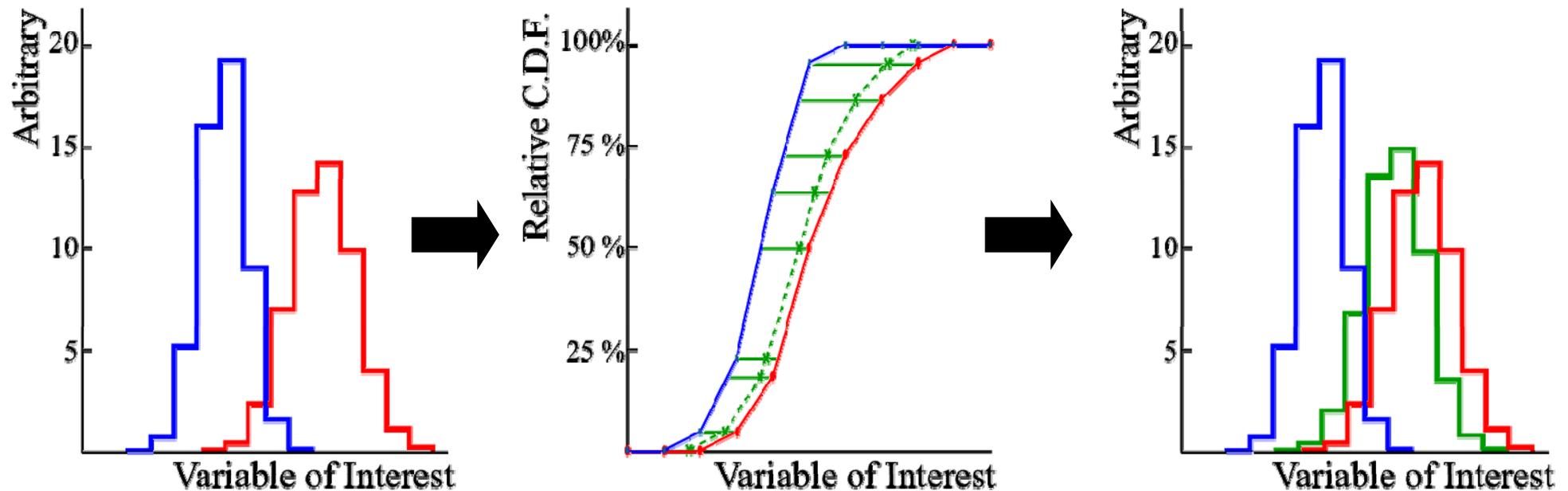




# “Everything You Always Wanted To Know About Template Morphing But Were Afraid To Ask.”



- Now that we have JES shifts, how do we incorporate this in our machinery?  
⇒ Implemented *compound horizontal template morphing*.
- Horizontal morphing is simply interpolating between two normalized cumulative distribution functions (*i.e.*, the normalized integral of the histogram).
  - The **green** C.D.F. curve is the 75% interpolation between the **blue** and **red** C.D.F. curves.

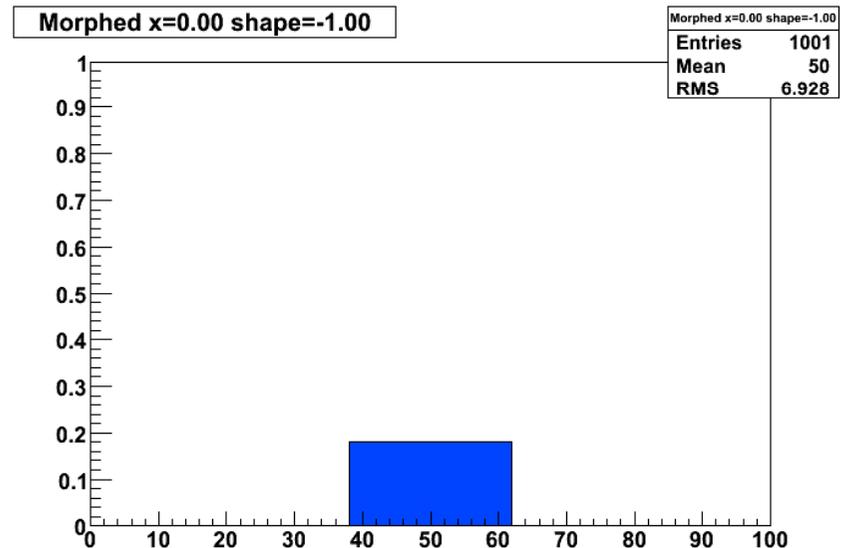
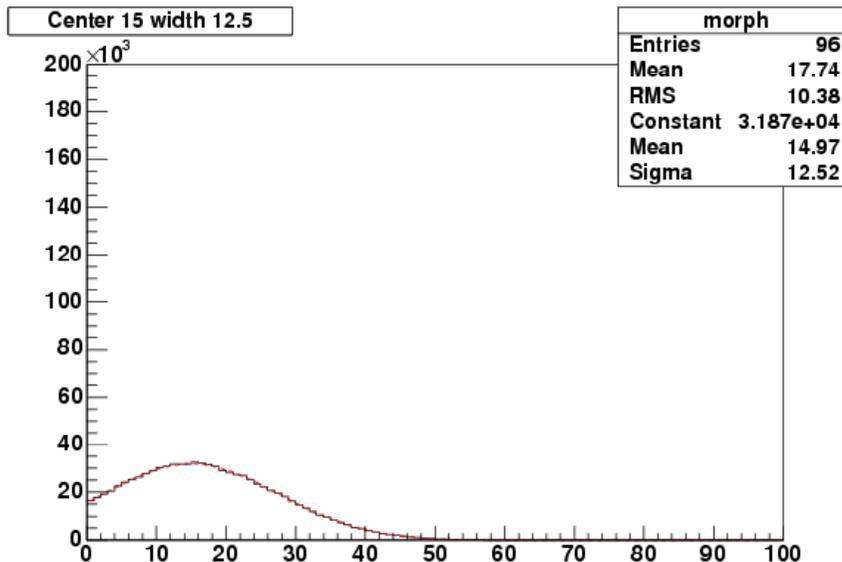
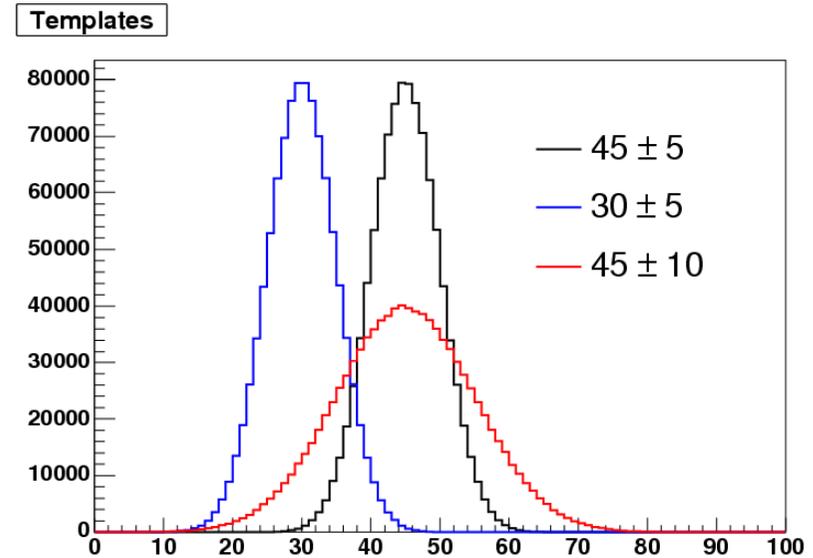




# Does Morphing Work?



- Test with Gaussians
  - Easy to verify it is working as expected.
- Works on much more complicated shapes.
  - Squares
  - Half-circles
  - mass  $\chi^2$  shapes



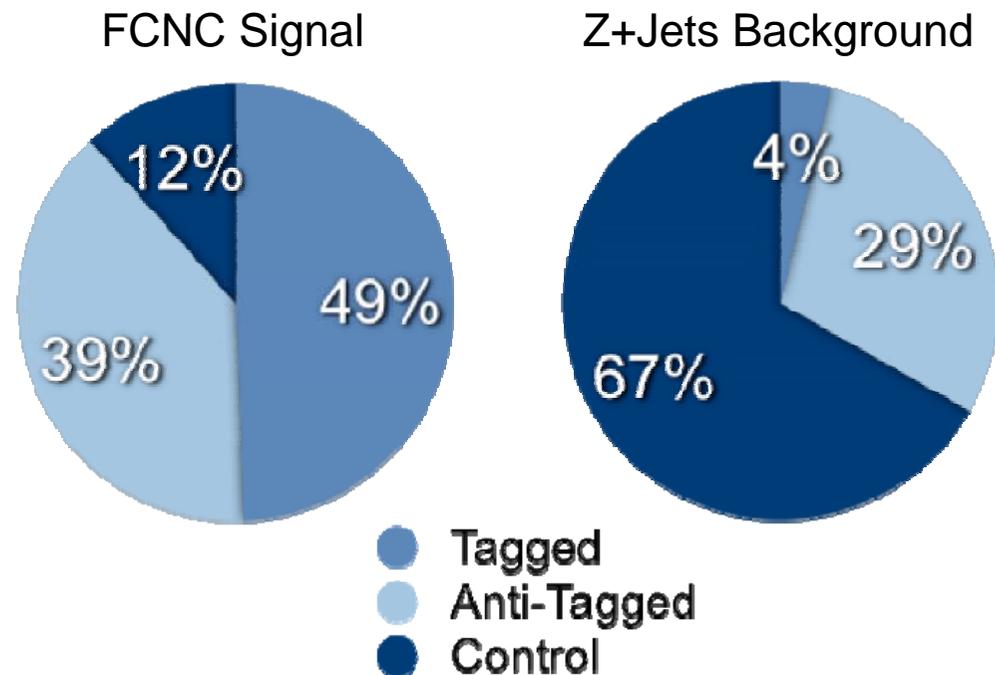


# Signal and Control Regions



- “How do we control shape uncertainties without hiding a small signal?”
- Solution: add control region with little signal acceptance:
  - Constrain shape uncertainties without “morphing away” signal.
  - Definition: At least one optimized  $E_T$  or  $m_T$  cut failed (do not look at any b-tagging information).

Kinematic Variable	Optimized Cut
Transverse Mass	$\geq 200 \text{ GeV}$
Leading Jet	$\geq 40 \text{ GeV}$
Second Jet	$\geq 30 \text{ GeV}$
Third Jet	$\geq 20 \text{ GeV}$
Fourth Jet	$\geq 15 \text{ GeV}$



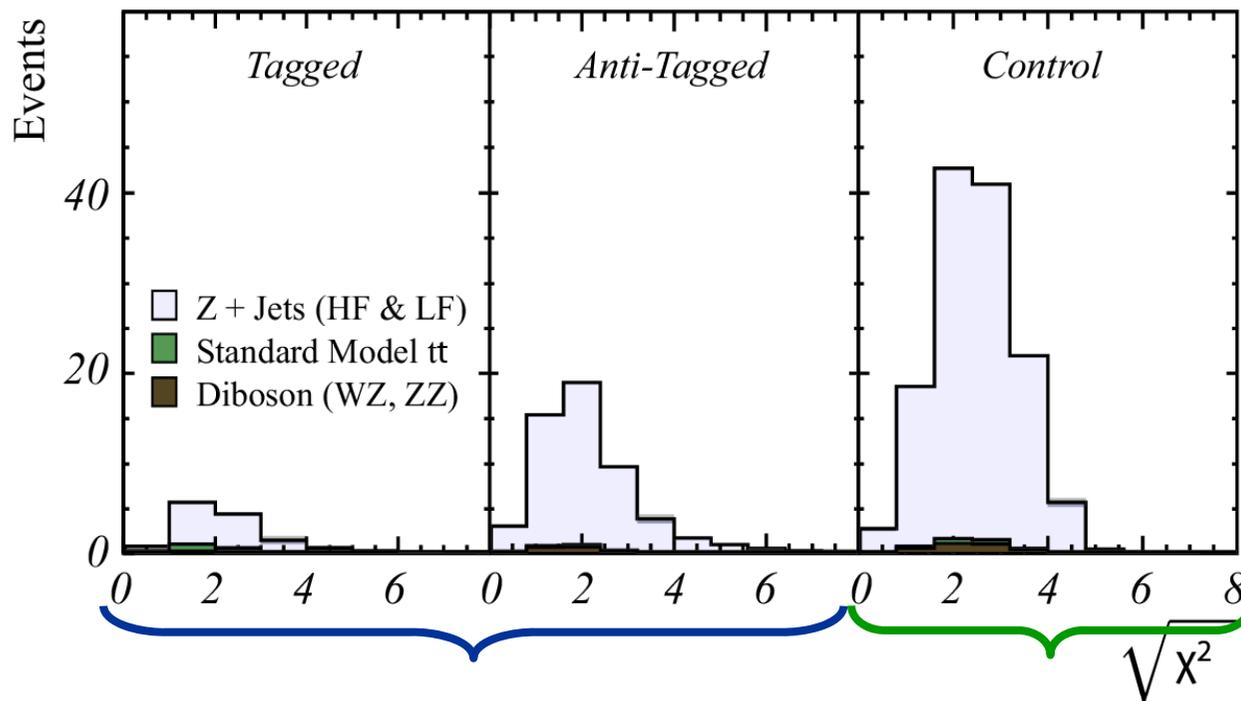


# Constraining Z + Jets Background



- We have validated that the MC works fairly well in a jet bin, but we do not trust it across jet bins.  
⇒ **No absolute Z + jet constraints.**
- Use MC to predict the ratio of Z + jets acceptance in the two signal regions to the control region.

### Expected Background Distributions



$\mathcal{R}_{sig} \equiv$  Ratio of Z + jets in the signal regions to the control region.  
⇒ 20% constraint

⇒ No constraint!

Passed all four  $E_T$  and  $m_T$  Cuts

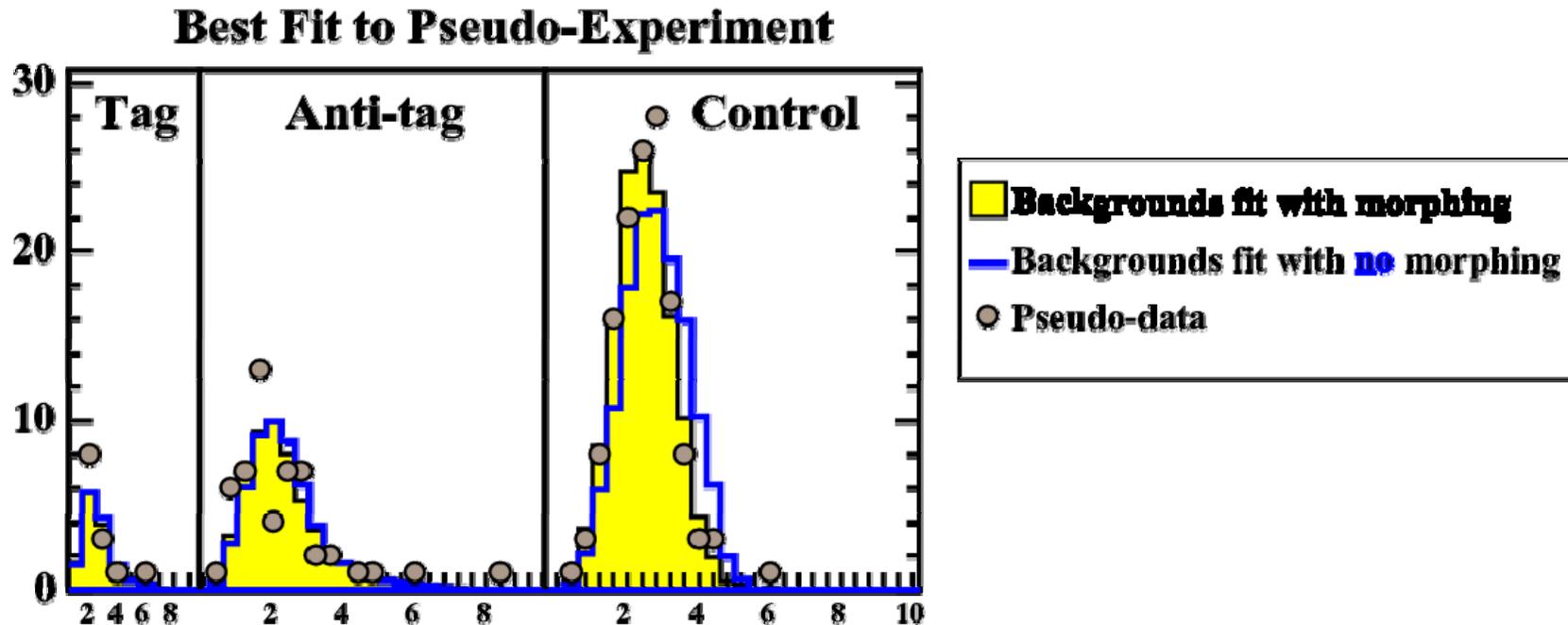
Failed at least one cut



# Fitting $\chi^2$ Roundup



- No absolute Z + jet background estimate needed.
- For the template fit, we need to deal with shape uncertainties.
  - Find dominant sources  $\Rightarrow$  JES
  - Morphing of JES templates in fitter.
- Do not want to “*morph away*” a real signal  $\Rightarrow$  Control region.
  - Use control region also for Z + jet constraints.
- Investigated effect of shape **not** being from JES  $\Rightarrow$  Small effect.

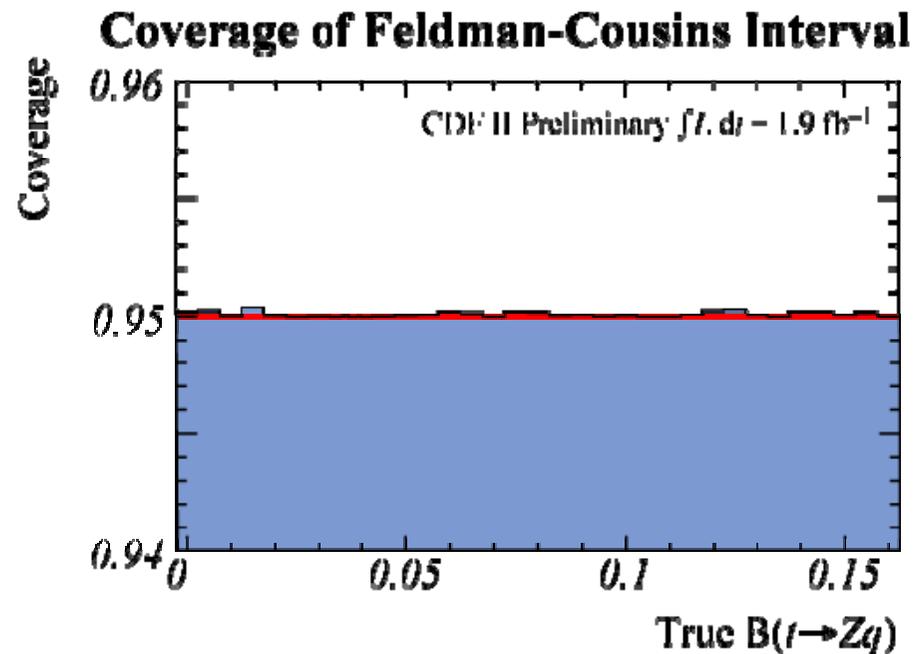




# Feldman-Cousins in Five Minutes



- How are we going to interpret our results?
- Feldman-Cousins answers the question:  
*“What range of true values are likely to lead to this measured value?”*
- Why use Feldman-Cousins?
  - Guarantees coverage.
  - Data tell us whether we should report a measurement or a limit.
  - Our method incorporates systematic uncertainties easily.

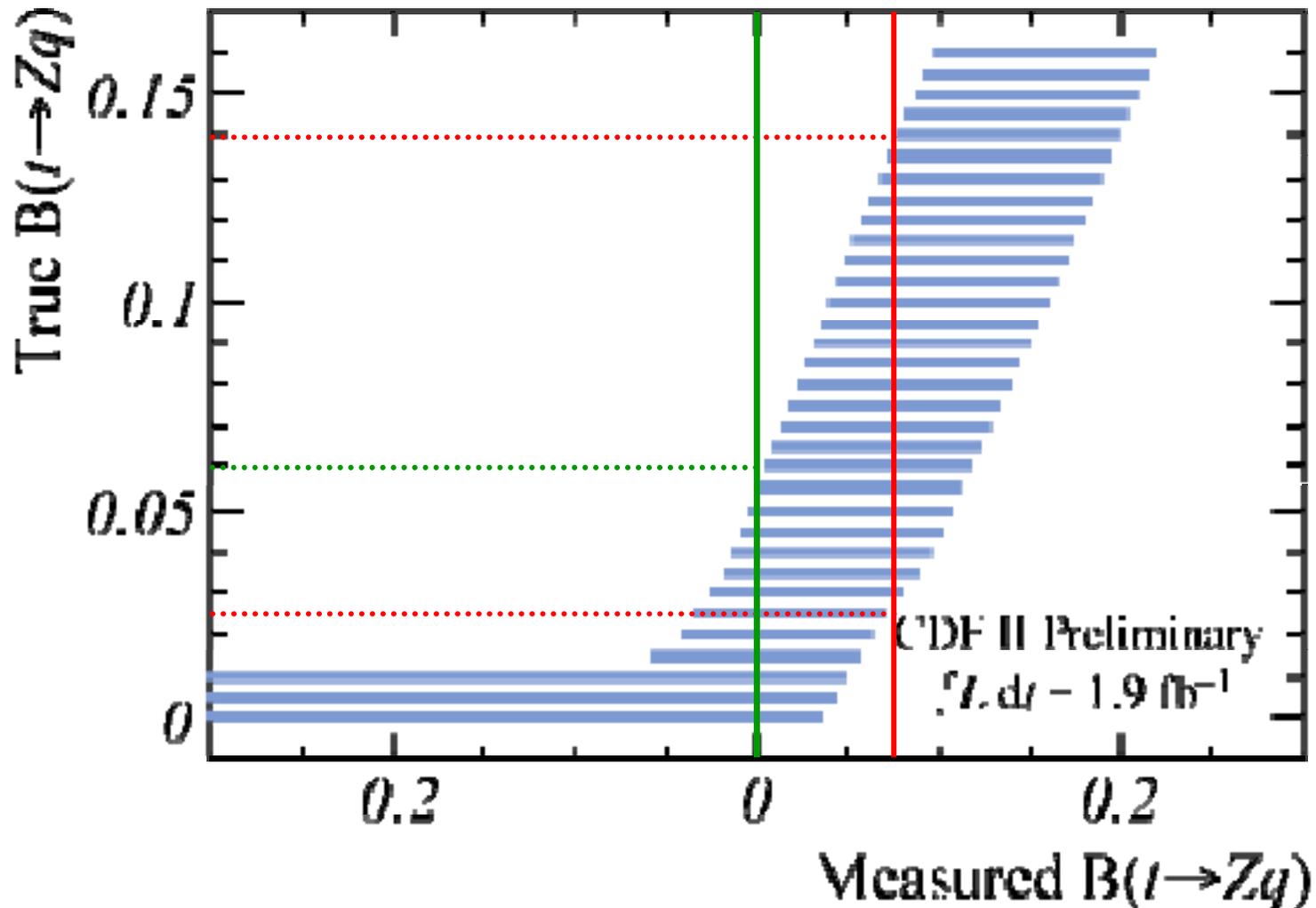




# Top FCNC Feldman-Cousins Bands



## FCNC Feldman-Cousins Band (95% C.L.)





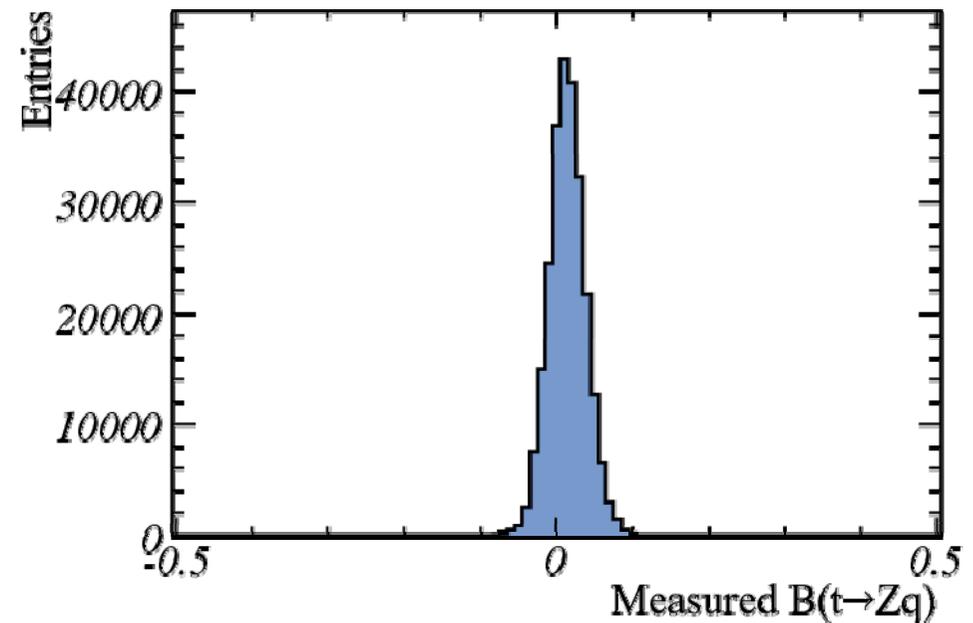
# Pseudo-Experiments (PEs)



**Pseudo-experiment:** Generate all necessary numbers/templates to emulate data from an experiment.

1. Generate random numbers to simulate all systematic uncertainties.
  - Pay attention to correlations.
  - Vary **all** systematic uncertainties.
  - Verify all numbers are physical.
  - Morph all templates appropriately.
2. Generate numbers of background and signal events.
3. For each type of event, use templates to generate mass  $\chi^2$ .
4. Fit as if data.
5. Repeat!

**PEs for True  $B(t \rightarrow Zq) = 0.0150$**

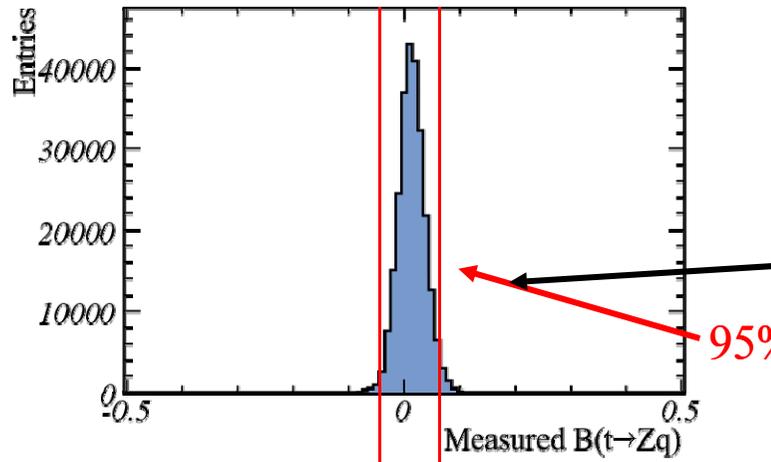




# FC Band Construction In A Nutshell



**PEs for True  $B(t \rightarrow Zq)=0.0150$**

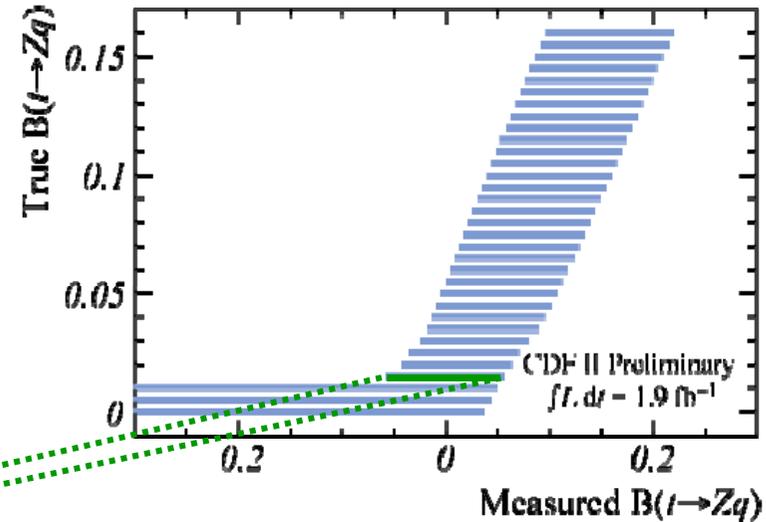


- Use *Likelihood Ratio Ordering Principle*:

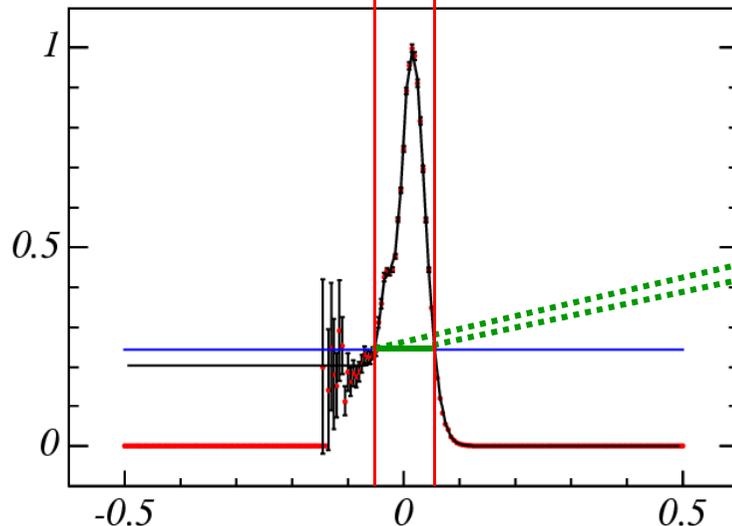
$$\text{Likelihood Ratio}(\mu_{\text{meas}}) = \frac{P(\mu_{\text{meas}} | \mu_{\text{true}})}{P(\mu_{\text{meas}} | \mu_{\text{best}})}$$

PEs generated with all statistical

and systematic uncertainties  
**FCNC Feldman-Cousins Band (95% C.L.)**



**Likelihood Ratio for  $B(t \rightarrow Zq) = 0.0150$**

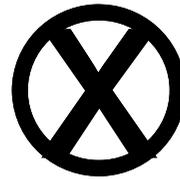
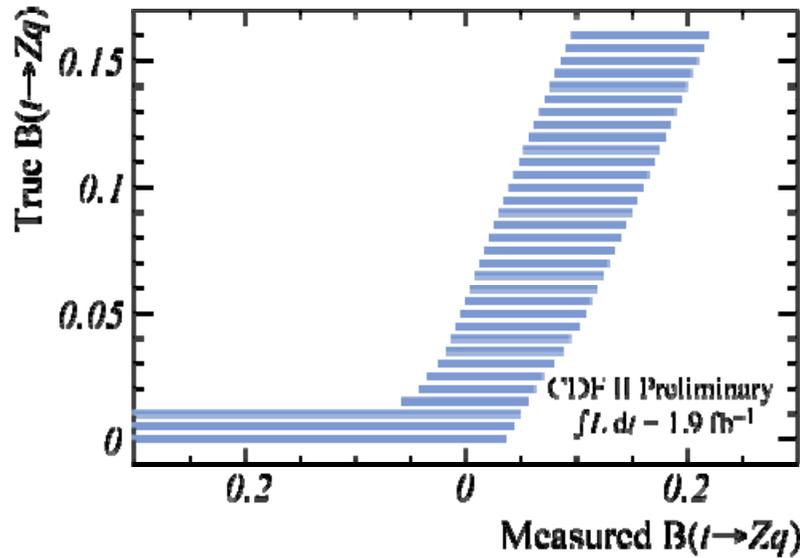




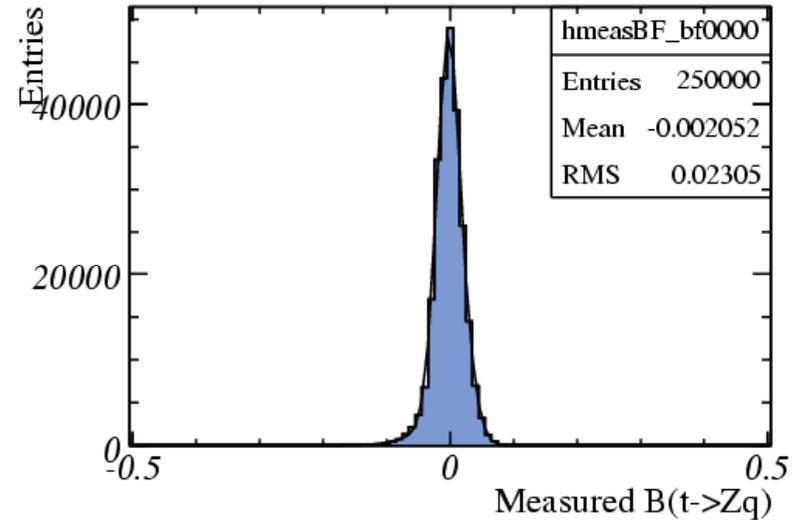
# Expected Limit



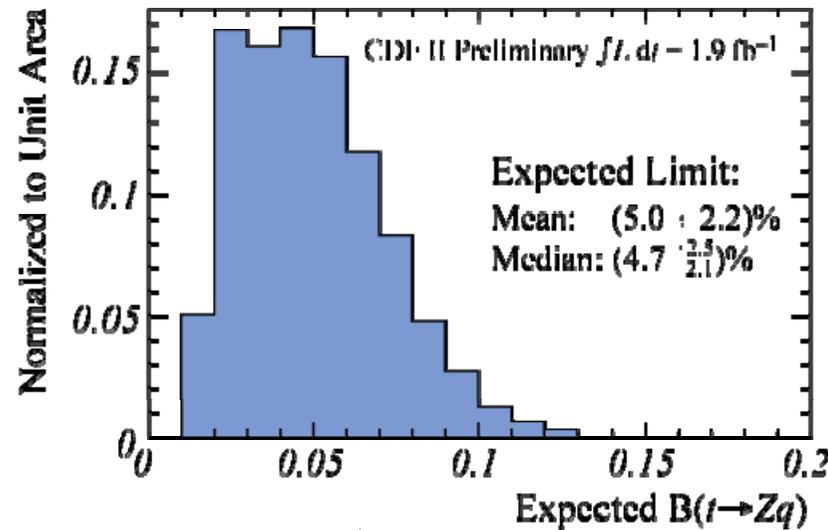
### FCNC Feldman-Cousins Band (95% C.L.)



### PEs for True $B(t \rightarrow Zq)=0.0000$



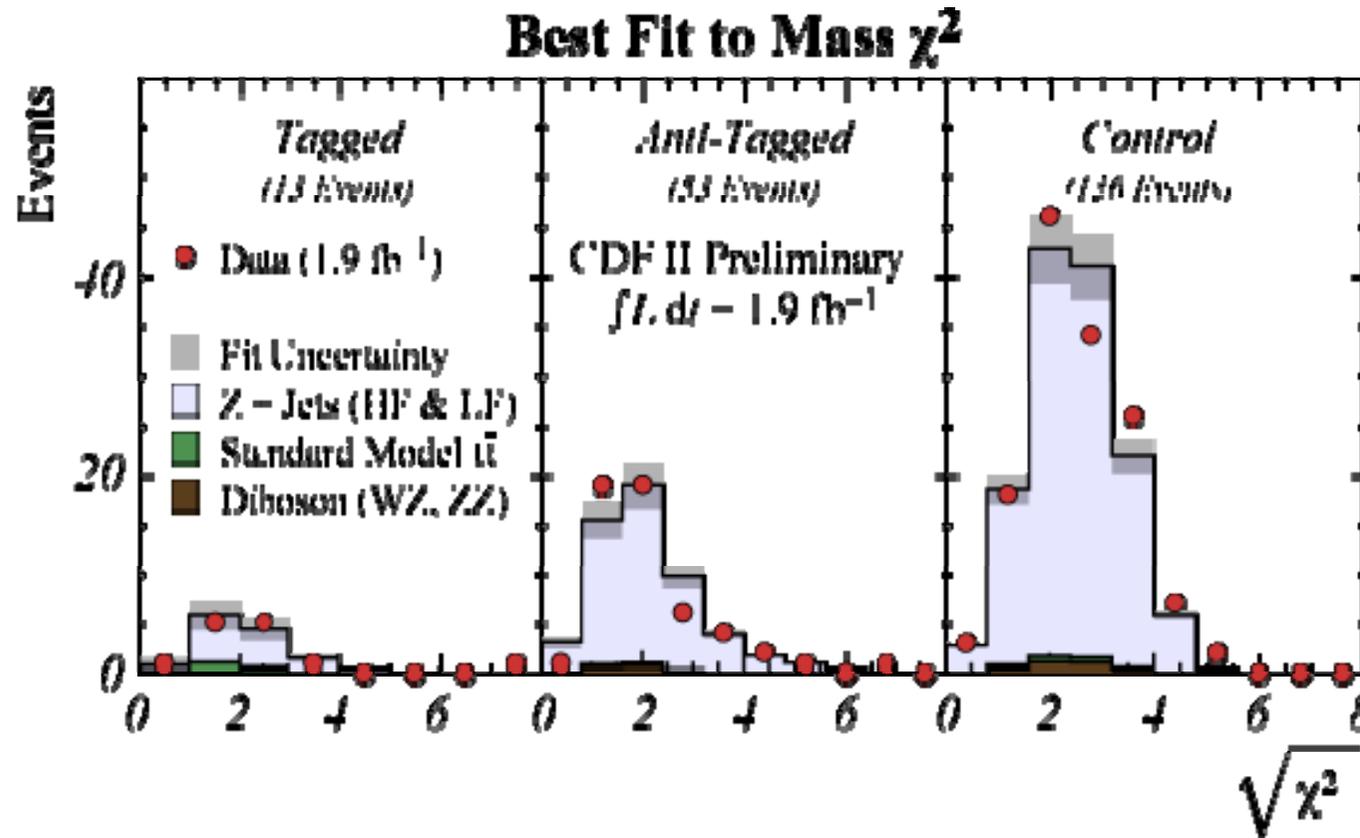
### FCNC Expected Limit



==



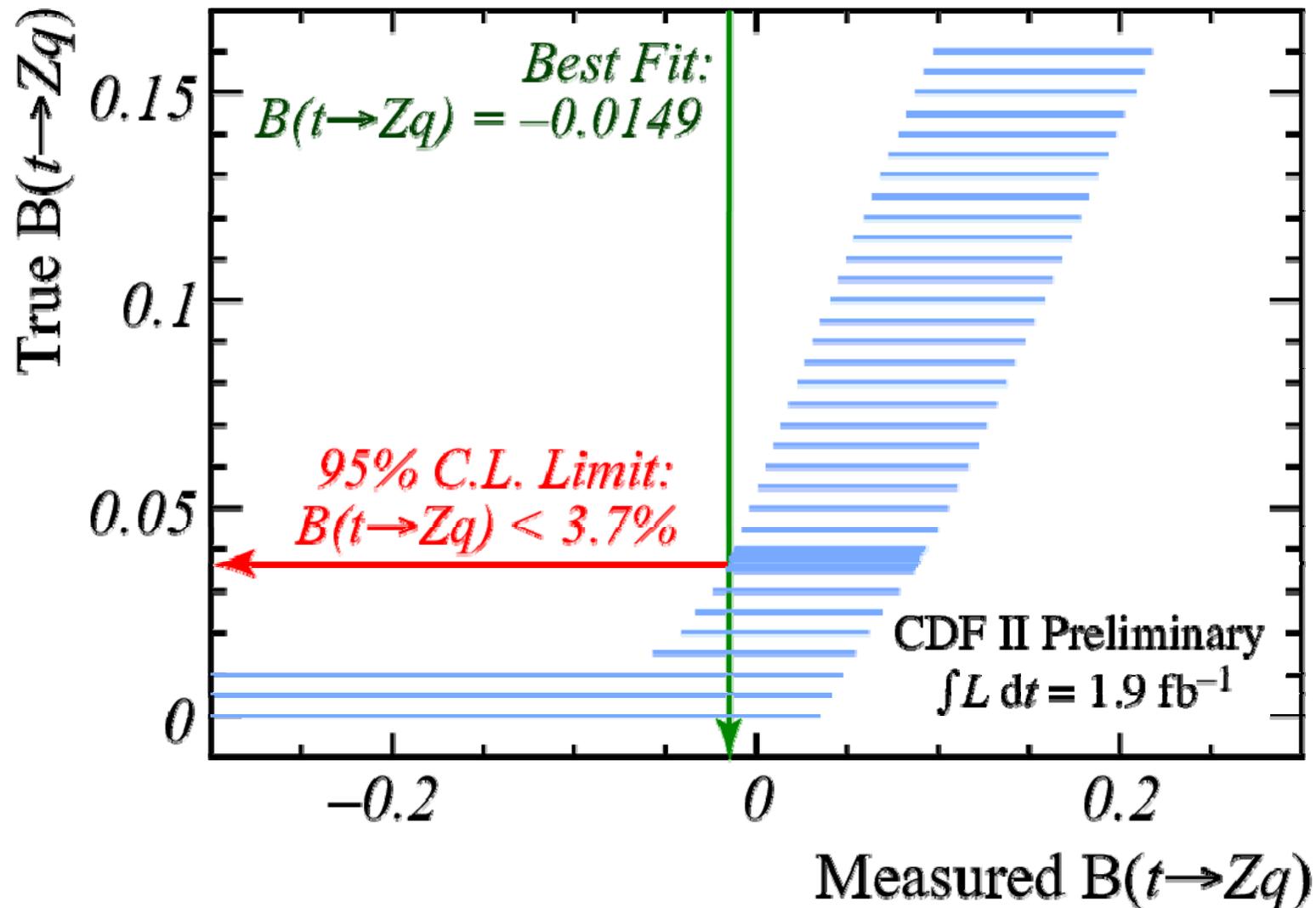
# The Fit to the Data



Fit Parameter ( $\int \mathcal{L} dt = 1.9\text{fb}^{-1}$ )	Value	
Branching Fraction, $\mathcal{B}(t \rightarrow Zq)$ (%)	-1.49	$\pm 1.52$
Z+Jets Events in Control Region, $Z_{\text{control}}$	129.0	$\pm 11.1$
Ratio Signal/Control Region, $\mathcal{R}_{\text{sig}}$	0.52	$\pm 0.07$
Tagging Fraction, $f_{\text{tag}}$ (%)	20.0	$\pm 5.9$
Jet Energy Scale Shift, $\sigma_{\text{JES}}$	-0.74	$\pm 0.43$



## FCNC Feldman-Cousins Band (95% C.L.)





# Outline

The Tevatron and the CDF Experiment

Top Quark Physics

The Search for Top FCNC Decay

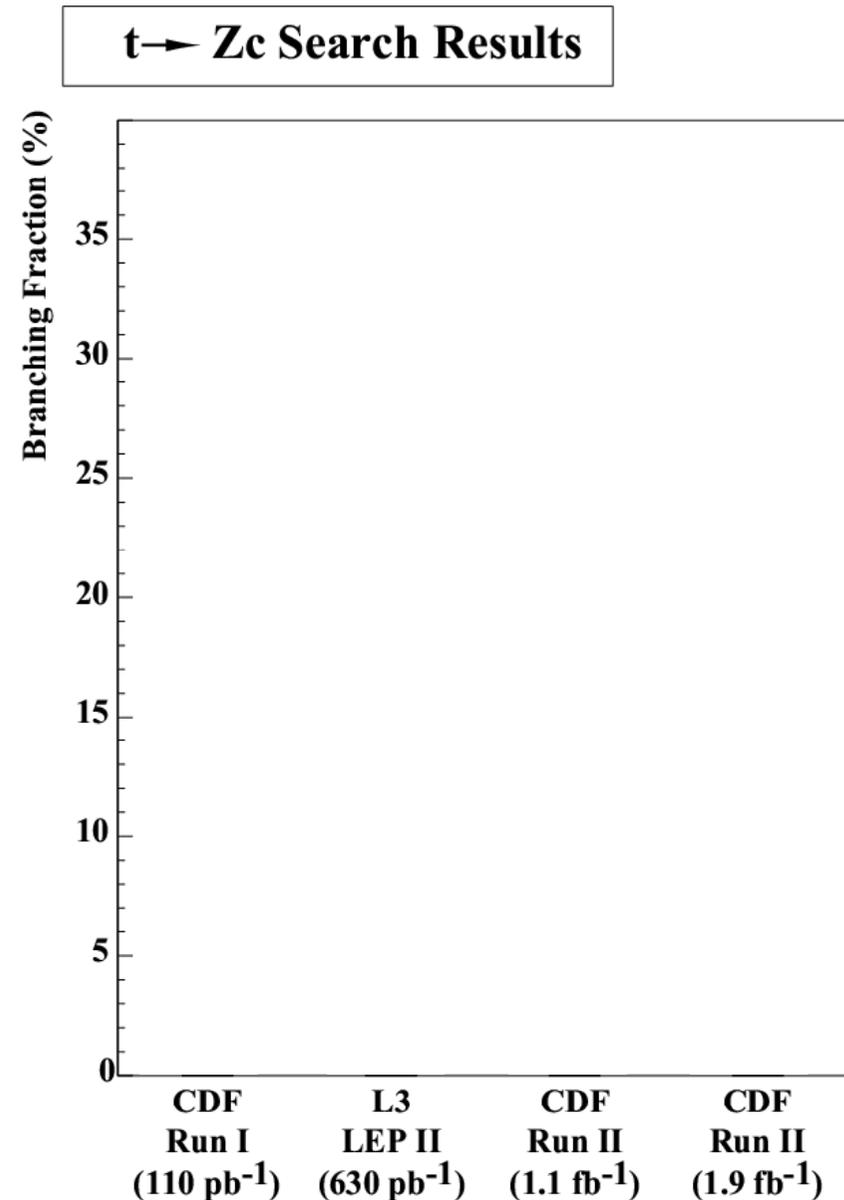
Summary



# Summary



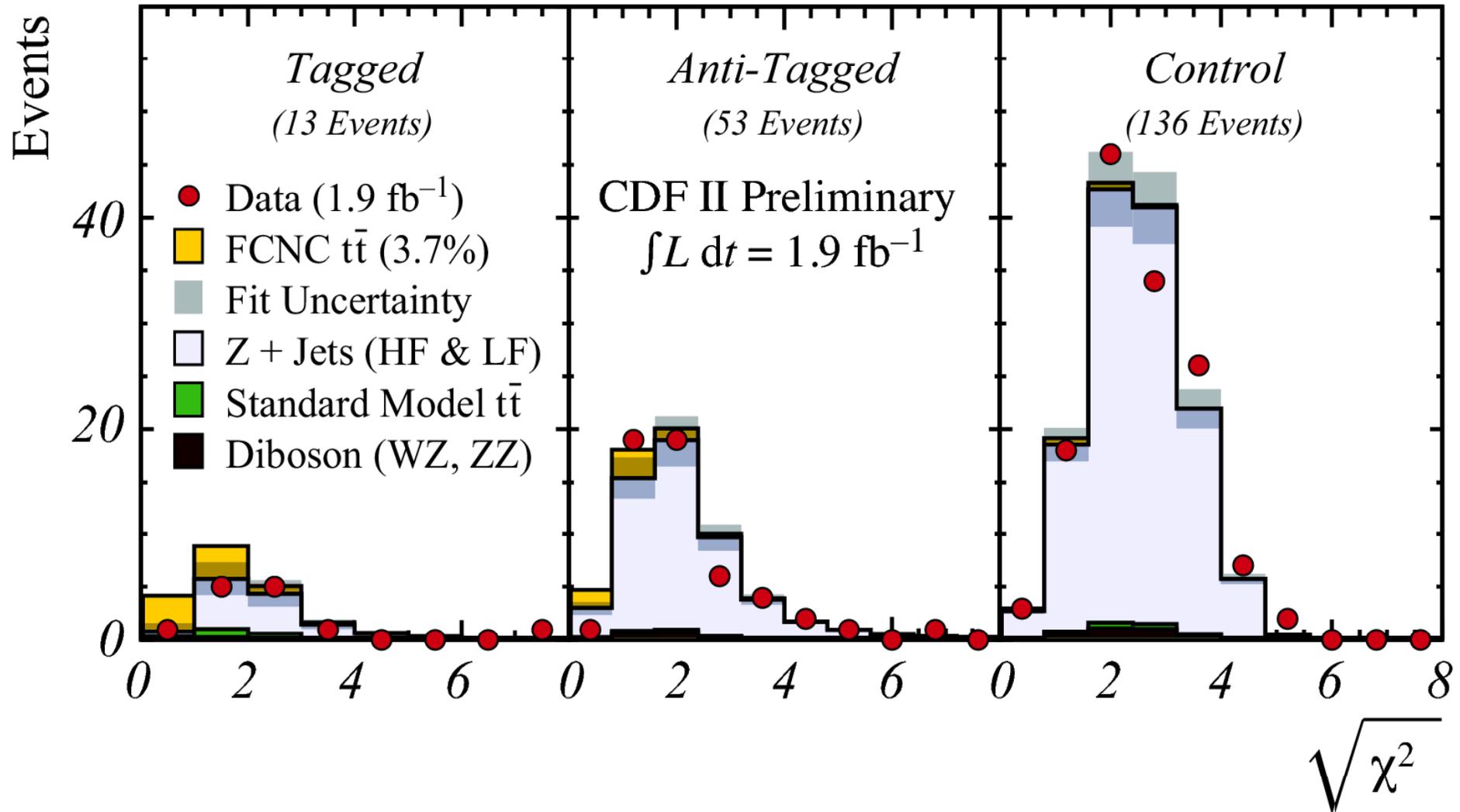
- CDF and the Tevatron are running very well.
  - **Thanks Tevatron!**
- We just finished Run II's first search for Top FCNC  $t \rightarrow Z c$ .
  - Using **1.9 fb<sup>-1</sup>**, we have the world's best limit:  
**Br ( $t \rightarrow Z c$ ) < 3.7%** at 95% C.L.
- Using data-based background techniques will be very important for the LHC.





# Money Plot

## Best Fit to Mass $\chi^2$





# New Era of Precision Top Physics!



## 2010 PDG Top Entry

**t**

$$I(J^P) = 0(\frac{1}{2}^+)$$

$$\text{Charge} = \frac{2}{3} e \quad \text{Top} = +1$$

Mass  $m = 172.6 \pm 1.4 \text{ GeV}^{[b]}$  (direct observation of top events)

Mass  $m = 172.3_{-7.6}^{+10.2} \text{ GeV}$  (Standard Model electroweak fit)

<b>t DECAY MODES</b>	Fraction ( $\Gamma_i/\Gamma$ )	Confidence level	$P$ (MeV/c)
$W q (q = b, s, d)$			—
$W b$			—
$\ell \nu_\ell$ anything	[c,d] (9.4 ± 2.4) %		—
$\tau \nu_\tau b$			—
$\gamma q (q = u, c)$	[e] < 5.9 × 10 <sup>-3</sup>	95%	—
<b><math>\Delta T = 1</math> weak neutral current (T1) modes</b>			
$Z q (q = u, c)$	T1 [f] < 3.7 %	95%	—

**$\gamma q (q = u, c)$**

**$Z q (q = u, c)$**

**5 $\sigma$  Evidence for single top production**

...

**(Your analysis here??)**



---

# Thank You!



# Best Fit to Mass $\chi^2$

